

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 24/12/2019

(b) Due date of AGM 30/09/2019

(c) Whether any extension for AGM granted Yes No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension H85789865

Pre-fill

(e) Extended due date of AGM after grant of extension 30/12/2019

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 7

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
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1	Alok Infrastructure Limited	U45201MH2006PLC164267	Subsidiary	100
2	Alok Singapore Pte Limited		Subsidiary	100
3	Alok International Inc		Subsidiary	100
4	Alok Worldwide Limited		Subsidiary	100
5	Alok International (Middle East		Subsidiary	100
6	New City of Bombay Manufact	U17291MH2007GOI195493	Joint Venture	49
7	Aurangabad Textiles and Appa	U17121MH2007GOI195403	Joint Venture	49

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	4,000,000,000	1,377,331,816	1,377,331,816	1,377,317,895
Total amount of equity shares (in Rupees)	40,000,000,000	13,773,318,160	13,773,318,160	13,773,178,950

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	4,000,000,000	1,377,331,816	1,377,331,816	1,377,317,895
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	40,000,000,000	13,773,318,160	13,773,318,160	13,773,178,950

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	1,377,317,895	13,773,178,950	13,773,178,950	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0

iv. Others, specify				
At the end of the year	1,377,317,895	13,773,178,950	13,773,178,950	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="27/12/2018"/>
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Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor	<input type="text"/>
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Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee	<input type="text"/>
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Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	<input type="text"/>
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Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	5,285	1000000	5,285,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			284,032,414,342
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			6,379,888,758
Deposit			0
Total			295,697,303,100

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	5,285,000,000	0	0	5,285,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

31,287,631,227

(ii) Net worth of the Company

-129,221,801,290

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	19,275,411	1.4	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	109,158,453	7.93	0	

10.	Others Alok Benefit Trust	8,691,000	0.63	0	
	Total	137,124,864	9.96	0	0

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	812,978,995	59.03	0	
	(ii) Non-resident Indian (NRI)	21,786,808	1.58	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	10,000	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	237,376	0.02	0	
5.	Financial institutions	52,662,878	3.82	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	200	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	257,062,557	18.66	0	
10.	Others TRUST/HINDU UNDIVIDED F	95,454,217	6.93	0	
	Total	1,240,193,031	90.04	0	0

Total number of shareholders (other than promoters)

214,525

Total number of shareholders (Promoters+Public/Other than promoters)

214,534

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	207,613	214,525
Debenture holders	9	9

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	0	3	0	1.25	0
B. Non-Promoter	2	2	2	2	0	0
(i) Non-Independent	2	0	2	0	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	3	0	1	0	0
(i) Banks & FIs	0	3	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	5	5	3	1.25	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
ASHOK BHAGIRATHM,	00168350	Whole-time directo	14,844,206	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
DILIP BHAGIRATHMAL	00173476	Managing Director	1,005,973	
SURENDRA BHAGIRATHMAL	00173525	Whole-time director	1,356,900	
SURINDER KUMAR BHAGIRATHMAL	00435603	Director	0	
KESHAV DATTARAM BHAGIRATHMAL	00406556	Director	0	
SUNEET SHUKLA	02248415	Nominee director	0	
SENTHILKUMAR ARUMUGAM	07421184	Director	0	20/01/2020
TULSI NANIKRAM TEJASWANI	07423670	Director	4,000	
GOPAL HARIHARAN K	ADLPG4276G	Company Secretary	0	
SUNIL OMPRAKASH K	AGSPK9505G	CFO	2,000	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
ATANU SEN	05339535	Nominee director	04/07/2018	NOMINATION WITHDRAWN
PRADEEP KUMAR RAJ	01697520	Nominee director	09/05/2018	NOMINATION WITHDRAWN

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	27/12/2018	220,812	49	0.02

B. BOARD MEETINGS

*Number of meetings held

1

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	14/03/2019	5	4	80

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	24/12/2019
								(Y/N/NA)
1	ASHOK BHAG	0	0	0	0	0	0	No
2	DILIP BHAGIF	0	0	0	0	0	0	No
3	SURENDRA E	0	0	0	0	0	0	No
4	SURINDER KI	0	0	0	0	0	0	No
5	KESHAV DAT	0	0	0	0	0	0	No
6	SUNEET SHU	0	0	0	0	0	0	No
7	SENTHILKUM	0	0	0	0	0	0	Yes
8	TULSI NANIKI	0	0	0	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ASHOK BHAGIRAT	EXECUTIVE DIR	383,429	0	0	0	383,429
2	DILIP BHAGIRATHI	MANAGING DIR	383,429	0	0	0	383,429
3	SURENDRA BHAG	JOINT MANAGI	383,429	0	0	0	383,429
4	SENTHILKUMAR A	EXECUTIVE DIR	7,735,089.36	0	0	0	7,735,089.36
5	TULSI NANIKRAM	EXECUTIVE DIR	6,866,677.79	0	0	0	6,866,677.79
	Total		15,752,054.15	0	0	0	15,752,054.15

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	GOPAL HARIHARA	COMPANY SEC	12,253,846.2	0	0	0	12,253,846.2
2	SUNIL OMPRAKAS	CFO	11,892,598.92	0	0	0	11,892,598.92
	Total		24,146,445.12	0	0	0	24,146,445.12

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

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(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director 

DIN of the director

To be digitally signed by



Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

Approval letter for extention of AGM.pdf MGT-8.pdf List of Debentureholders 31032019.pdf Alok Industries Limited_MGT7_SHT.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company



सत्यमेव जयते

GOVERNMENT OF INDIA
MINISTRY OF CORPORATE AFFAIRS

Office of the Registrar of Companies

RoC Bhavan, Opp Rupal Park Society Behind Ankur Bus Stop, Ahmedabad, Gujarat, India, 380013

DATED : 16-09-2019

IN THE MATTER OF M/S ALOK INDUSTRIES LIMITED CIN L17110DN1986PLC000334

AND

IN THE MATTER OF EXTENSION UNDER SECTION 96(1) OF THE COMPANIES ACT, 2013

The Company has closed its accounting year on 31-03-2019 and the Annual general meeting of the company is due to be held on 30-09-2019 as per requirements of section 96 of the Companies Act, 2013. The company has made an application vide SRN H85789865 on 29-08-2019 requesting for an extension of time for the purpose of holding AGM on the following grounds

Due to Corporate Insolvency resolution process has been incited by the Hon'ble NCLT.

Keeping in view, the aforesaid circumstances due to which company cannot hold its Annual General Meeting on time, extension 03 months 00 days is considered.

ORDER

Under the power vested in the undersigned by virtue of section 96(1) read with second proviso attached thereto extension of 03 months 00 days is hereby granted. However, the company is hereby advised to be careful in future in compliance of the provisions of the Companies Act, 2013.



Yours faithfully,

MANOJA KUMAR SAHU

Registrar of Companies

RoC - Ahmedabad

Mailing Address as per record available in Registrar of Companies office:

ALOK INDUSTRIES LIMITED

17/5/1, 521/1,, Village Rakholi/ Saily,, Silvassa, Dadra & Nagar Haveli, India,
396230



Note: This letter is to be generated only when the application is approved by RoC office

ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,
Mumbai - 400 013. Tel.: 91 22 6178 7000 Fax : 91 22 6178 7118



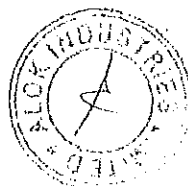
Details of Non-Convertible Debentures (NCDs) of the Company as on 31.03.2019 are as follows:

Sr. No.	Particulars	No. of NCDs	Amount (in Crore)	Stock Code (BSE)	ISIN NO.	Balance as on March 31,2019 (Rs. in Crore)
1	555 – 11.50% Secured Redeemable NCDs of Rs.10,00,000/- each aggregating to Rs.56 crores issued and allotted on June 29, 2010 on private placement basis.	250	25.00	ALOK290610C	INE270A07489*	25.00
		174	17.40	ALOK290610C	INE270A07489*	17.40
		71	7.10	ALOK290610C	INE270A07489*	7.10
		50	5.00	ALOK290610C	INE270A07489*	5.00
		5	0.50	ALOK290610C	INE270A07489	0.50
		5	0.50	ALOK290610C	INE270A07489*	0.50
2	1000 – 13.00% Secured NCDs of RS.10,00,000/- each aggregating to Rs.100 Crores issued and allotted on October 20, 2010 on private placement basis.	334	33.40	ALOK201010A	INE270A09014*	33.40
		333	33.30	ALOK201010B	INE270A09022*	33.30
		333	33.30	ALOK201010C	INE270A09030*	33.30
3	730– 15.50% Secured NCDs of Rs.10,00,000/- each aggregating to Rs.110 crores issued and allotted on March 04, 2011 on private placement basis.	370	37.00	ALOK4311B	INE270A07539*	37.00
		360	36.00	ALOK4311C	INE270A07547*	36.00
4	3000 – 12.00% Secured Redeemable NCDs of Rs.10,00,000/- each aggregating to Rs.300 crores issued and allotted on February 01, 2012 on private placement basis.	375	37.50	ALOK010212A	INE270A07554*	37.50
		375	37.50	ALOK010212B	INE270A07562*	37.50
		375	37.50	ALOK040212C	INE270A07570*	37.50
		375	37.50	ALOK010212D	INE270A07588*	37.50
		375	37.50	ALOK010212E	INE270A07596*	37.50
		375	37.50	ALOK010212F	INE270A07604*	37.50
		375	37.50	ALOK010212G	INE270A07612*	37.50
		375	37.50	ALOK010212H	INE270A07620	37.50
TOTAL		5285	528.50			528.50

* Have been suspended.

Certified True Copy
For Alok Industries Limited

K. H. Gopal
Company Secretary



Regd. Off.: 17/5/1, 521/1, Village Rakholi / Saily, Silvassa - 396 230. (Union Territory of Dadra and Nagar Haveli)

Tel.: 0260-6637000 Fax : 0260-2645289 Visit us at : www.alokind.com CIN : L17110DN1986PLC000334

Alok Industries Limited

Details of shares/debentures transfers from

01/04/2018

To

31/03/2019

Closure Date :

31-03-2018

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
26/04/2018	1	100	000010	00023508	MINESH G PATEL	ND000001	NATIONAL SECURITY DE
01/06/2018	1	1	000010	ND000001	NATIONAL SECURITIES	00031796	AJAY SHAH
01/06/2018	1	1	000010	ND000001	NATIONAL SECURITIES	00031797	SUSAN THOMAS
29/06/2018	1	100	000010	00026559	VIRENDAR PANKAJ	CD000001	CENTRAL DEPOSITORY S
29/06/2018	1	100	000010	00026917	R K JAYANT	CD000001	CENTRAL DEPOSITORY S
06/08/2018	1	100	000010	00028067	RESHMA G SHAH	ND000001	NATIONAL SECURITY DE
14/08/2018	1	400	000010	00031205	SASANKA SEKHAR AICH	ND000001	NATIONAL SECURITY DE
14/08/2018	1	2000	000010	00031794	Y S R MURTHY	ND000001	NATIONAL SECURITY DE
30/08/2018	1	500	000010	00024428	KANWAL JIT SINGH	ND000001	NATIONAL SECURITY DE
10/09/2018	1	133	000010	00031494	KANCHAN MUKESH KANTH	ND000001	NATIONAL SECURITY DE
18/09/2018	1	1000	000010	ND000001	NATIONAL SECURITIES	00031798	TEJASKUMAR JAYANTILA
24/09/2018	1	15500	000010	00031792	RAJUL RAJANKUMAR DES	CD000001	CENTRAL DEPOSITORY S
27/09/2018	1	1000	000010	00027598	PREMLATA FOGLA	ND000001	NATIONAL SECURITY DE
04/10/2018	1	300	000010	00015001	KHEM SINGH	CD000001	CENTRAL DEPOSITORY S
06/10/2018	1	15000	000010	00031785	SANTOSH B JIWRAJKA	00031799	ALOK KNIT EXPORTS PR
22/10/2018	1	400	000010	00031198	SUNIL BHANUDAS SAMBA	CD000001	CENTRAL DEPOSITORY S
23/10/2018	1	200	000010	00026408	VASUDHA Y AITHAL	CD000001	CENTRAL DEPOSITORY S
30/10/2018	1	1107	000010	00024142	DILIP V KUMBHAR	00031800	VANDANA DILIP KUMBHA
12/11/2018	1	15000	000010	00031799	ALOK KNIT EXPORTS PR	ND000001	NATIONAL SECURITY DE
20/11/2018	1	200	000010	00029961	NARENDRA S THAKKAR	CD000001	CENTRAL DEPOSITORY S
28/11/2018	1	200	000010	00028453	RASESH PARIKH	CD000001	CENTRAL DEPOSITORY S
03/12/2018	1	400	000010	00017418	K R PURUSHOTHAMA PAI	CD000001	CENTRAL DEPOSITORY S
18/12/2018	1	200	000010	00018111	JAGJIT SINGH	CD000001	CENTRAL DEPOSITORY S
18/12/2018	1	100	000010	00018109	DILBIR KAUR	ND000001	NATIONAL SECURITY DE
19/12/2018	1	100	000010	00019732	GOPICHAND S MALANI	00031802	VIJAY G MALANI
24/12/2018	1	3	000010	CD000001	CENTRAL DEPOSITORY S	00031801	MAHAVEER CHOPRA
24/12/2018	1	1300	000010	00022805	DHANRAJ CHINDULAL TI	ND000001	NATIONAL SECURITY DE
18/02/2019	1	900	000010	00022727	DEEPAK RAWAT	CD000001	CENTRAL DEPOSITORY S
18/02/2019	1	200	000010	00028795	RAKESH DEWAN	ND000001	NATIONAL SECURITY DE
18/02/2019	1	1107	000010	00031800	VANDANA DILIP KUMBHA	ND000001	NATIONAL SECURITY DE
26/02/2019	1	100	000010	00026877	MARY JOSEPH	CD000001	CENTRAL DEPOSITORY S
04/03/2019	1	200	000010	00030616	R VENKATESH MURTHY	ND000001	NATIONAL SECURITY DE

For ALOK INDUSTRIES LIMITED



Director / Authorised Signatory

Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Form No. MGT-8

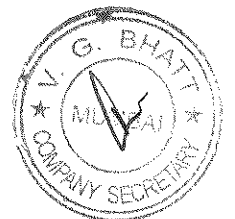
*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Alok Industries Limited** (hereinafter referred as "the Company") having CIN: L17110DN1986PLC000334 as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made there under for the financial year ended on 31st March, 2019. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I am of the opinion that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has prima facie complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act - **The Company is a Listed Public Company;**
 2. As per information provided, maintenance of registers/records & making entries therein were made generally within the time prescribed;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time -
During the period under review, the Company has filed various forms with the Registrar of Companies, details of which are provided in Annexure-I.

The Company yet to file required e-Form in respect of approval of Accounts and approval of Directors Report. As informed, the Company is under Corporate Insolvency Resolution Process.



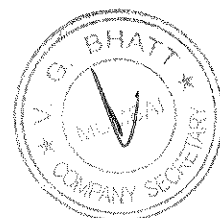
4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed-

In accordance with an application made by State Bank of India, the Hon'ble National Company Law Tribunal, Ahmedabad Bench ("Adjudicating Authority"), vide its order dated 18th July, 2017 had ordered the commencement of the Corporate Insolvency Resolution ("CIR") Process in respect of the corporate debtor, i.e., Alok Industries Limited ("the Company") under the provisions of the Insolvency and Bankruptcy Code, 2016 ("the Code").

Pursuant to its order dated 08th March, 2019 ("NCLT Order"), the Adjudicating Authority approved the resolution plan ("Approved Resolution Plan") submitted by JM Financial Asset Reconstruction Company Limited, JMFARC - March 2018 - Trust and Reliance Industries Limited ("Resolution Applicants") for the Company under Section 31 of the Code. In accordance with the provisions of the Code and the NCLT order, the Approved Resolution Plan is binding on the corporate debtor and its employees, members, creditors, guarantors and other stakeholders involved in the resolution plan.

Pursuant to the Approved Resolution Plan, during the period between the NCLT Approval Date (as defined in the Approved Resolution Plan) and the Closing Date (as defined in the Approved Resolution Plan) ("Interim Period"), a monitoring committee shall be constituted ("Monitoring Committee") which during the period following the CCI Approval Date and until the Closing Date (as defined in the Approved Resolution Plan), shall comprise of 4 (Four) representatives of the Financial Creditors, 2 (Two) representatives of the Resolution Applicants and the Erstwhile Resolution Professional. Thus, for the period between the NCLT Approval Date (as defined in the Approved Resolution Plan) and the Closing Date (as defined in the Approved Resolution Plan), the Monitoring Committee has accordingly been formed to manage the affairs of the Company and to maintain the Company as a going concern.

It may be noted that the Monitoring Committee was not in office for the entire period to which this report primarily pertains. During the CIR Process (i.e. between 18th July, 2017 and 08th March, 2019), the RP was entrusted with the management of the affairs of the Company.



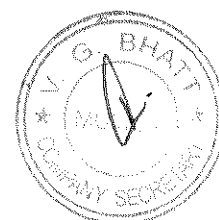
As stated above, during the period under review, No Board Meeting or Meeting of Committee of Board of Directors were conducted as the powers of Board of Directors of the Company stand suspended with effect from 18th July, 2017 and the said powers are being exercised by the Resolution Professional. During the period under review, the Monitoring Committee met once on 14th March, 2019.

The Annual General Meeting of the members was held on 27th December, 2018 (based on extension approval received from the Registrar of Companies for holding Annual General Meeting after 30th September, 2018).

5. Closure of Register of Members / Security holders, as the case may be-
The Register of Members and Share Transfer Books of the Company was closed once during the financial year from 21st December, 2018 to 27th December 2018 for the Annual General Meeting.
6. Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act-
During the period under review, as per Audited Financial Statements and Statutory Auditors report thereon, the Company has not complied with the provisions of the Section 185 & 186 of the Act as the Company has not charged interest on the loans given to Subsidiary Companies.
7. Contracts/arrangements with related parties as specified in Section 188 of the Act-
During the period under review, according to the information and explanation given to me and as per the report of statutory auditors, all transactions entered with related parties as specified under Section 188 of the Companies Act, 2013 are disclosed vide Note No. 45 forming part of the financial statements.
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances-
The documents relating to transfers and transmission of securities are maintained with Registrar and Transfer Agent.

There were no instances of issue or allotment or buy back of securities or redemption of preference shares or debentures or alteration or reduction of share capital or conversion of shares or securities.

9. Keeping in abeyance the rights to dividend, rights share and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act-



During the period under review as informed by the Company, there was no transaction which requires the Company to keep in abeyance the right to dividend, right shares and bonus shares required under the provisions of the Act.

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act-

During the period under review, the Company has not declared any dividend.

During the period under review, the Company has transferred Rs. 16,09,805/- and Rs. 14556/- unclaimed dividend amounts pertaining to the Financial Year 2010-11 to the Investor Education and Protection Fund.

During the period under review, the Company was not required to transfer any equity shares to Investor Education and Protection Fund.

11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof-

The powers of Board of Directors of the Company stand suspended and the said powers are being exercised by the Resolution Professional, the Audited Financial Statements and Report of Directors signed by Mr. Sunil O. Khandelwal, Chief Financial Officer and Mr. K. H. Gopal, Company Secretary and same was taken on record by Mr. Ajay Joshi, Resolution Professional.

12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them-

During the period under review, there were following changes in the constitution:

a) Re-appointment of Mr. Surendra B. Jiwrajka (DIN: 00173525), who retires by rotation at its Annual General Meeting held on 27th December, 2018.

b) Re-appointment of Mr. Tulsi Tejwani (DIN: 07423670), who retires by rotation at its Annual General Meeting held on 27th December, 2018.



- c) Re-appointment of Mr. Senthilkumar M.A. (DIN: 07421184), who retires by rotation at its Annual General Meeting held on 27th December, 2018.
- d) LIC of India has withdrawn their nomination of Mr. Pradeep Kumar Rath on the Board of the Company effective from 09th May, 2018.
- e) Mr. Atanu Sen nominated by State Bank of India on the Board of the Company has also tendered his resignation effective from 14th September, 2018.

Notes:

- a) As per Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Third Amendment) Regulations, 2018, a Company undergoing CIR process is not required to comply with Regulation 17 of the SEBI (LODR) Regulations dealing with the requirement of composition of the Board of Directors.

The Company has given disclosures in respect of remuneration of the Directors and Key Managerial Personnel in Corporate Governance report and extract of Annual Return (MGT-9) are as follows:

Sr. No.	Name	Designation	Total remuneration including perquisites (Rs.)
1.	Mr. Ashok B. Jiwrajka	Executive Director	383,429.00
2.	Mr. Dilip B. Jiwrajka	Executive Director	383,429.00
3.	Mr. Surendra B. Jiwrajka	Executive Director	383,429.00
4.	Mr. Senthil Kumar M. A.	Executive Director	7,735,089.36
5.	Mr. Tulsi Tejwani	Executive Director	6,866,677.79
6.	K. H. Gopal	Company Secretary	12,253,846.20
7.	Sunil O. Khandelwal	Chief Financial Officer	11,892,598.92

13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of Section 139 of the Act-

During the period under review, there is no such instance of the casual vacancies of the Auditor. The Company had appointed M/s NBS & Co., Chartered Accountants, Mumbai (Firm Registration No.: 110100W) and M/s Shah Gupta & Co., Chartered Accountants (Firm Registration No.: 109574W) as the Joint Statutory Auditors of the Company at the Annual General Meeting held on 24th September, 2016 for a term of five consecutive years.

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act-



During the year under review, the Company has received approval from the Registrar of Companies for extension of holding Annual General Meeting by three months i.e. upto 30th December, 2019.

15. Acceptance/ renewal/ repayment of deposits-

During the period under review, As per the Audited Financial Statements the Company has not accepted public deposits.

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable-

During the period under review, the Company has not obtained any credit facilities as the Company was under Corporate Insolvency Resolution Process and implementation of the approved resolution plan is yet to be completed.

However, during the period under review, some of the Lenders has modified the charges by assignment of debt under intimation to the Company.

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act -

During the period under review, as per Audited Financial Statements and Statutory Auditors report thereon, the Company has not complied with the provisions of the Section 185 & 186 of the Act as the Company has not charged interest on the loans given to Subsidiary Companies.

As on 31st March, 2019 the Company had held total investments of Rs. 92.43 crore and total loans of Rs. 874.88 crore and as on 31st March, 2018 the amounts were same.

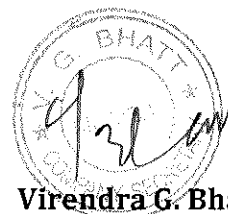
18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company-

During the year under review, the Company has not altered Memorandum of Association and Articles of Association of the Company.

Place: Mumbai

Date: 21st February, 2020

UDIN: A001157A000566370



Virendra G. Bhatt

ACS No.: 1157

COP No.: 124

ANNEXURE - I**• Various forms filed with the Registrar of Companies:**

SR NO.	Particular	FORM NO.	DATE OF FILING	SRN	REMARKS
1.	Modification of Charge Omkara Assets Reconstruction Private Limited Charge ID: 100063885	CHG-1	30/04/2018	G85566529	Filed with an additional fees of Rs. 1,200/-
2.	Modification of Charge Omkara Assets Reconstruction Private Limited Charge ID: 10388264	CHG-1	30/04/2018	G85565240	Filed with an additional fees of Rs. 1,200/-
3.	LIC of India has withdrawn their nomination of Mr. Pradeep Kumar Rath on the Board of the Company effective from 09 th May, 2018.	DIR-12	15/05/2018	G87174132	-
4.	Filing of Audited Financial Statements for the financial year ended 31 st March, 2017.	AOC-4 XBRL	30/05/2018	G88356886	-
5.	Appointment of Mr. Sunil O. Khandelwal as the Chief Financial Officer of the Company with effect from 24 th September, 2016.	DIR-12	30/05/2018	G88326921	Filed with an additional fees of Rs. 7,200/-
6.	Mr. Atanu Sen nominated by State Bank of India on the Board of the Company has tendered his resignation effective from 14 th September, 2018.	DIR-12	17/09/2019	H14120810	-
7.	Statement of unclaimed and unpaid dividend amounts to be transferred to Investor Education and Protection Fund.	IEPF-6	22/10/2018	H22602114	Filed with an additional fees of Rs. 6,000/-
8.	Statements of amount credited to Investor Education and Protection Fund.	IEPF-1	05/11/2018	H26933358	-
9.	Statements of amount credited to Investor Education and Protection Fund.	IEPF-1	05/11/2018	H26935270	-
10.	Filing of Report on Annual General Meeting.	MGT-15	24/01/2019	H42867184	-



11.	Filing of Resolutions passed at the Annual General Meeting: a) Approval of Standalone & Consolidated Financial Statements for the financial year ended 31 st March, 2018. b) To appoint Mr. Surendra B. Jiwrajka, who retires by rotation as a Director. c) To appoint Mr. Tulsi Tejwani, who retires by rotation as a Director. d) To appoint Mr. Senthilkumar M.A., who retires by rotation as a Director. e) To ratify the remuneration of Cost Auditors for the financial year ending 31 st March, 2019.	MGT-14	25/01/2019	H42994715	-
12.	Filing of Audited Financial Statements for the financial year ended 31 st March, 2018.	AOC-4 XBRL	26/01/2019	H43124742	Filed with an additional fees of Rs. 100/-
13.	Filing of Annual Return for the financial year ended 31 st March, 2018.	MGT-7	22/02/2019	H45485745	-
14.	Statement of unclaimed and unpaid amounts.	IEPF-2	27/03/2019	H48449292	-

