

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L17110DN1986PLC000334

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACA0201C

(ii) (a) Name of the company

ALOK INDUSTRIES LIMITED

(b) Registered office address

17/5/1, 521/1,
Village Rakholi/ Saily,
Silvassa
Dadra & Nagar Haveli
396230

(c) *e-mail ID of the company

investor.relations@alokind.com

(d) *Telephone number with STD code

02261787000

(e) Website

www.alokind.com

(iii) Date of Incorporation

12/03/1986

| (iv) | Type of the Company | Category of the Company | Sub-category of the Company |
|------|---------------------|---------------------------|-------------------------------|
| | Public Company | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

| S. No. | Stock Exchange Name | Code |
|--------|--|-------|
| 1 | BSE Limited (BSE) | 1 |
| 2 | National Stock Exchange of India Limited (NSE) | 1,024 |

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM 26/07/2022

(b) Due date of AGM 30/09/2022

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity | % of turnover of the company |
|------|--------------------------|------------------------------------|------------------------|---|------------------------------|
| 1 | C | Manufacturing | C2 | Textile, leather and other apparel products | 100 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 13

Pre-fill All

| S.No | Name of the company | CIN / FCRN | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|-----------------------------|-----------------------|--|------------------|
| 1 | ALOK INFRASTRUCTURE LIMITED | U45201MH2006PLC164267 | Subsidiary | 100 |
| 2 | ALOK INTERNATIONAL INC. | | Subsidiary | 100 |

| | | | | |
|----|---------------------------------------|-----------------------|---------------|-------|
| 3 | Alok International (Middle East) | | Subsidiary | 100 |
| 4 | Alok Global Trading (Middle East) | | Subsidiary | 100 |
| 5 | Alok Singapore PTE Limited | | Subsidiary | 100 |
| 6 | Alok Worldwide Limited | | Subsidiary | 100 |
| 7 | Alok Industries International Limited | | Subsidiary | 100 |
| 8 | Grabal Alok International Limited | | Subsidiary | 100 |
| 9 | Grabal Alok (UK) Limited | | Subsidiary | 100 |
| 10 | Mileta, a.s. | | Subsidiary | 100 |
| 11 | NEW CITY OF BOMBAY MFG. M | U17291MH2007GOI195493 | Joint Venture | 49 |
| 12 | AURANGABAD TEXTILES AND | U17121MH2007GOI195403 | Joint Venture | 49 |
| 13 | Triumphant Victory Holdings L | | Joint Venture | 16.67 |

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares | 35,000,000,000 | 4,965,240,401 | 4,965,240,401 | 4,965,240,401 |
| Total amount of equity shares (in Rupees) | 35,000,000,000 | 4,965,240,401 | 4,965,240,401 | 4,965,240,401 |

Number of classes

1

| Class of Shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| EQUITY SHARES | | | | |
| Number of equity shares | 35,000,000,000 | 4,965,240,401 | 4,965,240,401 | 4,965,240,401 |
| Nominal value per share (in rupees) | 1 | 1 | 1 | 1 |
| Total amount of equity shares (in rupees) | 35,000,000,000 | 4,965,240,401 | 4,965,240,401 | 4,965,240,401 |

(b) Preference share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares | 5,000,000,000 | 2,500,000,000 | 2,500,000,000 | 2,500,000,000 |
| Total amount of preference shares (in rupees) | 5,000,000,000 | 2,500,000,000 | 2,500,000,000 | 2,500,000,000 |

Number of classes

1

| Class of shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Preference Shares | | | | |
| Number of preference shares | 5,000,000,000 | 2,500,000,000 | 2,500,000,000 | 2,500,000,000 |
| Nominal value per share (in rupees) | 1 | 1 | 1 | 1 |
| Total amount of preference shares (in rupees) | 5,000,000,000 | 2,500,000,000 | 2,500,000,000 | 2,500,000,000 |

(c) Unclassified share capital

| Particulars | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0 |

(d) Break-up of paid-up share capital

| Class of shares | Number of shares | | | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|---------------|-------------|----------------------|----------------------|---------------|
| | Physical | DEMAT | Total | | | |
| Equity shares | | | | | | |
| At the beginning of the year | 2,228,575 | 4,963,011,849 | 652,404,011 | 4,965,240,415 | 4,965,240,415 | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Public Issues | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Rights issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Bonus issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Private Placement/ Preferential allotment | 0 | 0 | 0 | 0 | 0 | 0 |
| v. ESOPs | 0 | 0 | 0 | 0 | 0 | 0 |
| vi. Sweat equity shares allotted | 0 | 0 | 0 | 0 | 0 | 0 |
| vii. Conversion of Preference share | 0 | 0 | 0 | 0 | 0 | 0 |
| viii. Conversion of Debentures | 0 | 0 | 0 | 0 | 0 | 0 |
| ix. GDRs/ADRs | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|--|-----------|-------------|------------|-------------|------------|---|
| x. Others, specify <input type="text"/> | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Buy-back of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify <input type="text"/> | | | | | | |
| At the end of the year | 2,228,575 | 4,963,011,8 | 4965240401 | 4,965,240,4 | 4,965,240, | |
| Preference shares | | | | | | |
| At the beginning of the year | 0 | 2,500,000,0 | 2500000000 | 2,500,000,0 | 2,500,000, | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Issues of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Re-issue of forfeited shares | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Others, specify <input type="text"/> | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Redemption of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify <input type="text"/> | | | | | | |
| At the end of the year | 0 | 2,500,000,0 | 2500000000 | 2,500,000,0 | 2,500,000, | |

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

| Class of shares | | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares | | | |
| | Face value per share | | | |
| After split / Consolidation | Number of shares | | | |
| | Face value per share | | | |

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

| | | | |
|--|----------------------|--|----------------------|
| Date of the previous annual general meeting | | <input type="text"/> | |
| Date of registration of transfer (Date Month Year) | | <input type="text"/> | |
| Type of transfer | <input type="text"/> | 1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock | |
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
| Ledger Folio of Transferor | | <input type="text"/> | |
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |
| Ledger Folio of Transferee | | <input type="text"/> | |

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|---|----------------------|---|----------------------|
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
|---|----------------------|---|----------------------|

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferor | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferee | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

(iv) * Debentures (Outstanding as at the end of financial year)

| Particulars | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 |
| Total | | | 0 |

Details of debentures

| Class of debentures | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|-------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures | 0 | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 | 0 |

(v) Securities (other than shares and debentures)

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
| | | | | | |
| | | | | | |
| Total | | | | | |

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

71,509,108,973

(ii) Net worth of the Company

-164,500,463,428

VI. (a) *SHARE HOLDING PATTERN - Promoters

| S. No. | Category | Equity | | Preference | |
|--------|---|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 0 | 0 | 0 | 0 |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | 0 |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | 0 |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | 0 |
| | (ii) State Government | 0 | 0 | 0 | 0 |
| | (iii) Government companies | 0 | 0 | 0 | 0 |
| 3. | Insurance companies | 0 | 0 | 0 | 0 |
| 4. | Banks | 0 | 0 | 0 | 0 |
| 5. | Financial institutions | 0 | 0 | 0 | 0 |

| | | | | | |
|-----|---|---------------|----|---------------|-----|
| 6. | Foreign institutional investors | 0 | 0 | 0 | 0 |
| 7. | Mutual funds | 0 | 0 | 0 | 0 |
| 8. | Venture capital | 0 | 0 | 0 | 0 |
| 9. | Body corporate (not mentioned above) | 3,723,845,177 | 75 | 2,500,000,000 | 100 |
| 10. | Others | 0 | 0 | 0 | 0 |
| | Total | 3,723,845,177 | 75 | 2,500,000,000 | 100 |

Total number of shareholders (promoters)

2

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

| S. No. | Category | Equity | | Preference | |
|--------|--|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 1,029,299,392 | 20.73 | 0 | 0 |
| | (ii) Non-resident Indian (NRI) | 22,931,539 | 0.46 | 0 | 0 |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | 0 |
| 2. | Government | | | | |
| | (i) Central Government | 10,000 | 0 | 0 | 0 |
| | (ii) State Government | 0 | 0 | 0 | 0 |
| | (iii) Government companies | 0 | 0 | 0 | 0 |
| 3. | Insurance companies | 35,529,499 | 0.72 | 0 | 0 |
| 4. | Banks | 97,079 | 0 | 0 | 0 |
| 5. | Financial institutions | 0 | 0 | 0 | 0 |
| 6. | Foreign institutional investors | 2,000,000 | 0.04 | 0 | 0 |
| 7. | Mutual funds | 1,325,542 | 0.03 | 0 | 0 |
| 8. | Venture capital | 0 | 0 | 0 | 0 |
| 9. | Body corporate (not mentioned above) | 58,340,991 | 1.17 | 0 | 0 |
| 10. | Others FPIs, NBFCs, IEPF, Trusts, Cle | 91,861,182 | 1.85 | 0 | 0 |

| | | | | | |
|--|--------------|---------------|----|---|---|
| | Total | 1,241,395,224 | 25 | 0 | 0 |
|--|--------------|---------------|----|---|---|

Total number of shareholders (other than promoters)

Total number of shareholders (Promoters+Public/ Other than promoters)

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

| Name of the FII | Address | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|-----------------|---------|-----------------------|--------------------------|-----------------------|------------------|
| | | | | | |

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

| Details | At the beginning of the year | At the end of the year |
|--------------------------------|------------------------------|------------------------|
| Promoters | 2 | 2 |
| Members (other than promoters) | 445,226 | 529,849 |
| Debenture holders | 0 | 0 |

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

| Category | Number of directors at the beginning of the year | | Number of directors at the end of the year | | Percentage of shares held by directors as at the end of year | |
|--|--|---------------|--|---------------|--|---------------|
| | Executive | Non-executive | Executive | Non-executive | Executive | Non-executive |
| A. Promoter | 0 | 0 | 0 | 0 | 0 | 0 |
| B. Non-Promoter | 0 | 3 | 0 | 3 | 0 | 0 |
| (i) Non-Independent | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Independent | 0 | 3 | 0 | 3 | 0 | 0 |
| C. Nominee Directors representing | 0 | 4 | 0 | 4 | 0 | 0 |
| (i) Banks & FIs | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Investing institutions | 0 | 0 | 0 | 0 | 0 | 0 |
| (iii) Government | 0 | 0 | 0 | 0 | 0 | 0 |
| (iv) Small share holders | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | |
|------------|---|---|---|---|---|---|
| (v) Others | 0 | 4 | 0 | 4 | 0 | 0 |
| Total | 0 | 7 | 0 | 7 | 0 | 0 |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|------------------------|------------|---------------------|--------------------------------|--|
| Hemant Ishwarlal Desai | 00008531 | Nominee director | 0 | |
| Anil Rajbanshi Kumar | 03370674 | Nominee director | 0 | |
| Venkataraman Ramcha | 02032853 | Nominee director | 0 | |
| Nirav Rajesh Parekh | 09505075 | Additional director | 0 | |
| Siddharth Achuthan | 00016278 | Director | 0 | |
| Mumtaz Bandukwala | 07129301 | Director | 0 | |
| Rahul Yogendra Dutt | 08872616 | Director | 0 | |
| Sunil Omprakash Khan | AGSPK9505G | Manager | 0 | |
| Bijay Agrawal | ACIPA8664L | CFO | 0 | |
| Hitesh Pravin Kanani | AGXPK8807G | Company Secretar | 40 | |

(ii) Particulars of change in director(s) and Key managerial personnel during the year 2

| Name | DIN/PAN | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|---------------------|----------|--|---|--|
| Samir Chawla | 03499851 | Nominee director | 28/01/2022 | Cessation |
| Nirav Rajesh Parekh | 09505075 | Additional director | 03/03/2022 | Appointment |

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held 1

| Type of meeting | Date of meeting | Total Number of Members entitled to attend meeting | Attendance | |
|------------------------|-----------------|--|----------------------------|-------------------------|
| | | | Number of members attended | % of total shareholding |
| Annual General Meeting | 21/09/2021 | 496,280 | 34 | 0.01 |

B. BOARD MEETINGS

*Number of meetings held

6

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance | |
|--------|-----------------|--|------------------------------|-----------------|
| | | | Number of directors attended | % of attendance |
| 1 | 26/04/2021 | 7 | 7 | 100 |
| 2 | 27/05/2021 | 7 | 7 | 100 |
| 3 | 19/07/2021 | 7 | 7 | 100 |
| 4 | 18/10/2021 | 7 | 6 | 85.71 |
| 5 | 18/01/2022 | 7 | 7 | 100 |
| 6 | 03/03/2022 | 7 | 7 | 100 |

C. COMMITTEE MEETINGS

Number of meetings held

12

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance | |
|--------|---------------------------------|-----------------|---|----------------------------|-----------------|
| | | | | Number of members attended | % of attendance |
| 1 | Audit Committee | 26/04/2021 | 3 | 3 | 100 |
| 2 | Audit Committee | 27/05/2021 | 3 | 3 | 100 |
| 3 | Audit Committee | 19/07/2021 | 3 | 3 | 100 |
| 4 | Audit Committee | 18/10/2021 | 4 | 4 | 100 |
| 5 | Audit Committee | 18/01/2022 | 4 | 4 | 100 |
| 6 | Nomination and Remuneration | 16/07/2021 | 3 | 3 | 100 |
| 7 | Nomination and Remuneration | 03/03/2022 | 3 | 3 | 100 |
| 8 | Stakeholders Forum | 26/04/2021 | 4 | 4 | 100 |
| 9 | Stakeholders Forum | 16/07/2021 | 4 | 4 | 100 |
| 10 | Corporate Social Responsibility | 16/07/2021 | 3 | 2 | 66.67 |

D. *ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings | | | Committee Meetings | | | Whether attended AGM held on |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|------------------------------|
| | | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | 26/07/2022 |
| | | | | | | | | (Y/N/NA) |
| 1 | Hemant Ishwa | 6 | 5 | 83.33 | 2 | 2 | 100 | No |
| 2 | Anil Rajbanshi | 6 | 6 | 100 | 4 | 4 | 100 | No |
| 3 | Venkataramar | 6 | 6 | 100 | 10 | 10 | 100 | No |
| 4 | Nirav Rajesh F | 1 | 1 | 100 | 0 | 0 | 0 | No |
| 5 | Siddharth Ach | 6 | 6 | 100 | 9 | 9 | 100 | Yes |
| 6 | Mumtaz Bandi | 6 | 6 | 100 | 7 | 7 | 100 | Yes |
| 7 | Rahul Yogend | 6 | 6 | 100 | 8 | 8 | 100 | Yes |

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|--------------------|-------------|--------------|------------|-------------------------------|--------|--------------|
| 1 | Sunil Omprakash Kl | Manager | 15,054,925 | 0 | 0 | 0 | 15,054,925 |
| | Total | | 15,054,925 | 0 | 0 | 0 | 15,054,925 |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|---------------------|---------------|--------------|------------|-------------------------------|--------|--------------|
| 1 | Bijay Agrawal | CFO | 8,614,752 | 0 | 0 | 0 | 8,614,752 |
| 2 | Hitesh Pravin Kanar | Company Secre | 7,004,990 | 0 | 0 | 0 | 7,004,990 |
| | Total | | 15,619,742 | 0 | 0 | 0 | 15,619,742 |

Number of other directors whose remuneration details to be entered

3

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|--------------------|-------------|--------------|------------|-------------------------------|---------|--------------|
| 1 | Siddharth Achuthan | Director | 0 | 0 | 0 | 320,000 | 320,000 |

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-------------------|-------------|--------------|------------|-------------------------------|---------|-----------------|
| 2 | Rahul Yogendra Du | Director | 0 | 0 | 0 | 280,000 | 280,000 |
| 3 | Mumtaz Bandukwal | Director | 0 | 0 | 0 | 280,000 | 280,000 |
| | Total | | 0 | 0 | 0 | 880,000 | 880,000 |

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
| | | | | | |

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
| | | | | | |

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Virendra G. Bhatt

Whether associate or fellow

Associate Fellow

Certificate of practice number

124

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated (DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Anil Kumar
Rajbanshi

DIN of the director

To be digitally signed by

HITESH
PRAVIN
KANANI

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

-
-
-
-

Form_No_MGT-8_Signed.pdf
Annexures to MGT-7 2022.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Form No.: MGT-8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of the Companies
(Management and Administration) Rules, 2014]*

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Alok Industries Limited** ("the Company") as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended on 31st March, 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately;
- B. during the aforesaid financial year the Company has complied with the provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers / records & making entries therein within the time prescribed therefor;
 3. filing of forms and returns with the Registrar of Companies or other authorities within and beyond the prescribed time;
 4. calling / convening / holding meetings of Board of Directors or its committees and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in





the Minute Book / registers maintained for the purpose and the same have been signed;

5. during the financial year, the Company did not close its Register of Members or Security holders;
6. it has not made any advances / loans to its directors and / or persons or firms or companies referred in Section 185 of the Act during the financial year;
7. the Company has entered into contracts / arrangements with a related party as specified in Section 188 of the Act and all Related Party Transactions entered into during the financial year were in Ordinary Course of Business and on Arm's Length basis;
8. there were no instances of issue or allotment or transfer or transmission or buy back of securities or redemption of preference shares or debentures;
9. the Company was not required to keep in abeyance the rights to divided nor rights shares and bonus shares pending registration of transfer of shares in compliance of the Act;
10. the Company has not declared any dividend and the Company has transferred unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. the Company has complied with signing of the Audited Financial Statements as per the provisions of Section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof;
12. the Board of the Company is duly constituted and the appointment and disclosures of the Directors were duly made; the remuneration paid to Manager was within the ceilings permissible under the Act and there was no instance of filing-up of casual vacancy of Directors;
13. appointment of auditors as per the provisions of section 139 of the Act;
14. the Company was not required to take any approvals from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;



15. the Company had not accepted, renewed or repaid any public deposits during the financial year;
16. the Company has not borrowed any money from its directors and members though it has borrowed from banks and others and the Company has modified and satisfied the charges in that respect, wherever applicable;
17. the Company has not given any loans or not made any investments or not given any guarantees or not provided any securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;
18. the Company has not altered its Memorandum of Association and Articles of Association.

Date: 10th August, 2022
Place: Mumbai



Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124
Peer Review Cert. No.: 1439/2021

UDIN: A001157D000774941

This certificate is to be read with Annexure which forms an integral part of this certificate.

Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,

Pinnacle Corporate Park,

BKC CST Link Rd., MMRDA Area,

Bandra Kurla Complex,

Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Annexure

To,
Alok Industries Limited

My certificate in Form No.: MGT-8 on the Annual Return (Form No.: MGT-7) as on the financial year ended on 31st March, 2022 of even date is to be read along with this letter.

1. Maintenance of secretarial record is the responsibility of the management of the company. My responsibility is to express an opinion on these secretarial records based on my audit.
2. I have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. I believe that the processes and practices, I followed provide a reasonable basis for my opinion.
3. The compliance of the provisions of the Companies Act, 2013, rules, regulations, standards is the responsibility of management. My examination was limited to the verification of procedures on test basis.
4. Partially, I have conducted online verification and examination of records, as facilitated by the Company due to prevailing conditions to Covid-19 for the purpose of issuing this Certificate.

Date: 10th August, 2022

Place: Mumbai

UDIN: A001157D000774941



Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157 / COP No.: 124

Peer Review Cert. No.: 1439/2021

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

| Particulars | As at 31 st March, 2022 | As at 31 st March, 2021 |
|--|---------------------------------------|---------------------------------------|
| Authorised | | |
| 3500,00,00,000 Equity Shares of Rs.1/- each | 3500,00,00,000 | 3500,00,00,000 |
| 500,00,00,000 Preference Shares of Rs.1/- each | 500,00,00,000 | 500,00,00,000 |
| Total | 4000,00,00,000 | 4000,00,00,000 |
| Issued, subscribed and fully paid up capital | | |
| 496,52,40,401 Equity Shares of Rs.1/- each | 496,52,40,401 | 496,52,40,401 |
| Add: | | |
| 13,921 Equity shares of Rs.10/- each, Rs.5/- paid up | 69,605* | 69,605* |
| Total | 496,53,10,006 | 496,53,10,006 |

* Since there is no provision in e-Form MGT-7 for including forfeited shares having nominal value of Rs.10/- each and paid-up value of Rs.5/- each, in computation of Equity share Capital of the Company the amount of said forfeiture of shares are not included.

Field VI. (a) SHARE HOLDING PATTERN – Promoters:

| Sr. No. | Category | Equity | | Preference | |
|---------|---|----------------------|--------------|----------------------|---------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1 | Individual/Hindu Undivided Family | 0 | 0 | 0 | 0 |
| | (i) Indian | 0 | 0 | 0 | 0 |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | 0 |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | 0 |
| 2 | Government | 0 | 0 | 0 | 0 |
| | (i) Central Government | 0 | 0 | 0 | 0 |
| | (ii) State Government | 0 | 0 | 0 | 0 |
| | (iii) Government companies | 0 | 0 | 0 | 0 |
| 3 | Insurance companies | 0 | 0 | 0 | 0 |
| 4 | Banks | 0 | 0 | 0 | 0 |
| 5 | Financial institutions | 0 | 0 | 0 | 0 |
| 6 | Foreign institutional investors | 0 | 0 | 0 | 0 |
| 7 | Mutual funds | 0 | 0 | 0 | 0 |
| 8 | Venture capital | 0 | 0 | 0 | 0 |
| 9 | Body corporate * (not mentioned above) | 3,723,845,177 | 75.00 | 2,500,000,000 | 100.00 |
| 10 | Others | 0 | 0 | 0 | 0 |
| | TOTAL | 3,723,845,177 | 75.00 | 2,500,000,000 | 100.00 |

| | |
|---|-------------------------------|
| Total number of shareholders (promoters) | 2 * (Refer Note Below) |
|---|-------------------------------|

Field VI. (b) SHARE HOLDING PATTERN - Public/Other than promoters

| Sr. No. | Category | Equity | | Preference | |
|---------|---|----------------------|--------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1 | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 1,029,299,392 | 20.73 | 0 | 0 |
| | (ii) Non-resident Indian (NRI) | 22,931,539 | 0.46 | 0 | 0 |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | 0 |
| 2 | Government | 0 | 0 | 0 | 0 |
| | (i) Central Government | 10,000 | 0 | 0 | 0 |
| | (ii) State Government | 0 | 0 | 0 | 0 |
| | (iii) Government companies | 0 | 0 | 0 | 0 |
| 3 | Insurance companies | 35,529,499 | 0.72 | 0 | 0 |
| 4 | Banks | 97,079 | 0 | 0 | 0 |
| 5 | Financial institutions | 0 | 0 | 0 | 0 |
| 6 | Foreign institutional investors | 2,000,000 | 0.04 | 0 | 0 |
| 7 | Mutual funds | 1,325,542 | 0.03 | 0 | 0 |
| 8 | Venture capital | 0 | 0 | 0 | 0 |
| 9 | Body corporate * (not mentioned above) | 58,340,991 | 1.17 | 0 | 0 |
| 10 | Others | 91,861,182 | 1.85 | 0 | 0 |
| | Clearing Members | 5,990,132 | | | |
| | FPIs, | 82,217,626 | | | |
| | IEPF | 3,484,141 | | | |
| | Market Makers | 25,031 | | | |
| | NBFCs regd. with RBI | 76,100 | | | |
| | Trusts (Employee) | 0 | | | |
| | Trusts | 68,152 | | | |
| | TOTAL | 1,241,395,224 | 25.00 | 0 | 0 |

| | |
|---|---------------------------------|
| Total number of shareholders (other than promoters) | 529,849 |
| Total number of shareholders (Promoters+Public/Other than promoters) | 529,851 * (Refer Note Below) |

Note* JMFARC (acting in its capacity as Trustee of ARC Trust) is the 'Person Acting in Concert' ("PAC") with RIL (Promoter of the Company). There is however no provision for PAC in the prescribed format of shareholding pattern and hence, they have been shown as part of the Promoters.

Field VI. (c) DETAILS OF FOREIGN INSTITUTIONAL INVESTORS' (FIIS) HOLDING SHARES OF THE COMPANY: 01

| Name of the FII | Address | Date of Incorporation | Country of Incorporation | Number of Shares held | % of shares held |
|-----------------------------|---|------------------------------|---------------------------------|------------------------------|-------------------------|
| Megallan Consulting Limited | Pasea Estate Road Town, Tortola British Virgin Island | | VG | 2,000,000 | 0.04 |

Note:

1. As the field '% of shares held' in point VI.(c) of the e-form is not accepting the correct percentage of shares held as '0.04' (aggregate of Point 6. of table VI.(a) and VI.(b)), the same has been mentioned in the table above.
2. The Company does not have the 'Date of Incorporation' of Megallan Consulting Limited and hence the relevant field in the above table has been kept blank.

Field VI. (d) Break-up of paid-up share capital

| Class of Shares | Number of Shares | | | Total nominal amount | Total Paid-up amount | Total Premium |
|---|------------------|------------|------------|----------------------|----------------------|---------------|
| | Physical | Demat | Total | | | |
| Equity shares | | | | | | |
| At the beginning of the year | 2228575 | 4963011826 | 4965240401 | 4965240401 | 4965240401 | 0 |
| Increase during the year | 0 | 30490 | 30490 | 30490 | 30490 | 0 |
| i. Public Issues | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Rights issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Bonus issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Private Placement/ Preferential allotment | 0 | 0 | 0 | 0 | 0 | 0 |
| v. ESOPs | 0 | 0 | 0 | 0 | 0 | 0 |
| vi. Sweat equity shares allotted | 0 | 0 | 0 | 0 | 0 | 0 |
| vii. Conversion of Preference share | 0 | 0 | 0 | 0 | 0 | 0 |
| viii. Conversion of Debentures | 0 | 0 | 0 | 0 | 0 | 0 |
| ix. GDRs/ADRs | 0 | 0 | 0 | 0 | 0 | 0 |
| x. Others, specify Increased due to dematerialisation of Physical Shares | 0 | 30490 | 30490 | 30490 | 30490 | 0 |
| Decrease during the year | 30490 | 0 | 30490 | 30490 | 30490 | 0 |
| i. Buy-back of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify Decreased due to dematerialisation of Physical Shares | 30490 | 0 | 30490 | 30490 | 30490 | 0 |
| At the end of the year | 2198085 | 4963042316 | 4965240401 | 4965240401 | 4965240401 | 0 |

ISIN of the equity shares of the company:

INE270A01029

Note:

During the year 30,490 equity shares were dematerialised. Consequently, there is an increase of 30,490 demat shares and decrease of same no. of physical shares. Though the said data could be entered in the respective fields of the e-form, the fields becomes blank after pre-scrutiny of the form resulting in the number of shares in physical and demat and at the end of the year to be the same at that of the beginning the year, which is not the correct fact.

To reflect the correct data the table 'Break-up of paid-up share capital' for equity shares is re-produced as above.

**Field VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

| Details | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters | 2 * | 2 * |
| Members (other than promoters) | 445,226 | 529,849 |
| Debenture holders | 0 | 0 |

* JMFARC (acting in its capacity as Trustee of ARC Trust) is the 'Person Acting in Concert' ("PAC") with RIL (Promoter of the Company). There is however no provision for PAC in the prescribed format of shareholding pattern and hence, they have been shown as part of the Promoters.

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS:

C. Committee Meetings

| S. No. | Type of Meeting | Date of Meeting | Total Number of Members as on the date of the Meeting | Attendance | |
|--------|---------------------------|-----------------|---|-----------------------------|-----------------|
| | | | | Numbers of Members Attended | % of attendance |
| 10. | Risk Management Committee | 28/10/2021 | 3 | 3 | 100 |
| 11. | Risk Management Committee | 25/03/2022 | 3 | 3 | 100 |