

# ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,  
Mumbai - 400 013. Tel.: 91 22 6178 7000 Fax : 91 22 6178 7118



14<sup>th</sup> October, 2016

National Stock Exchange of India Ltd,  
Exchange Plaza, 5th Floor,  
Plot no. C/1, G Block,  
Bandra-Kurja Complex,  
Bandra (East), Mumbai-400 051.  
Fax No.: 2659 8237 / 2659 8238

**Subject: - Corporate Governance Report for the quarter ended 30<sup>th</sup> September, 2016 as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

**Scrip Code: - ALOKTEXT**

Dear Sir,

Pursuant to Regulation 27 (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith Corporate Governance Report for the quarter ended 30<sup>th</sup> September, 2016, for your information and record.

Thanking you,

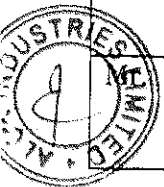
Yours truly,  
FOR ALOK INDUSTRIES LIMITED

  
K. H. GOPAL  
COMPANY SECRETARY

  
Encl: a/a

**Corporate Governance Report of Alok Industries Limited for the Quarter Ended 30<sup>th</sup> September, 2016.**

<b>I. Composition of Board of Directors</b>									
Title (Mr./ Mrs)	Name of the Director	PAN \$ & DIN	Category (Chairperson / Executive/ Non- Executive/ Independent/ Nominee) &	Date of Appointmen t in the current term/ cessation	Tenur e *	No. of Directorship in listed entities including this listed entity  (Refer Regulation 25(1) of Listing Requiremen t)	Number of Membership in Audit/ Stakeholder Committee(s) including this listed entity.  (Refer Regulation 26(1) of Listing Requirement)	No of post of Chairpe rson in Audit/ Stakehol der Committ ee held in listed entities includin g this listed entity.  (Refer Regulat ion 26(1) of Listing Require ment)	Comments
Mr.	Surinder Kumar Bhoan	AAKPB0324N  00435603	Chairperson- Independent Director - Non- Executive Director	30/03/2015	5yrs	1	3	2	--
Mr.	Ashok B. Jiwrajka	AACPJ3610K  00168350	Executive Director	12/03/1986	-	1	1	0	--
	Dilip B. Jiwrajka	AAGPJ8756J  00173476	Executive Director	12/03/1986	-	1	1	0	--



Mr.	Surendra B. Jiwrajka	AACPJ4316L 00173525	Executive Director	12/03/1986	-	1	1	0	--
Mr.	Atanu Sen	AOXPS2472M 05339535	Nominee Director	24/09/2015	-	2	0	0	--
Mr.	Kamalkishore C. Jani	ACQPJ3643Q 02535299	Independent Director-Non-Executive Director	24/09/2015	5yrs	3	3	2	--
Mr.	Sudhir Garg	AEJPG9351D 06777363	Nominee Director	13/02/2014	-	1	1	0	--
Mr.	Pradeep Kumar Rath	AEZPR2377A 01697520	Nominee Director	14/10/2015	-	1	0	0	--
Mr.	Senthilkumar M A	ASTPS3761K 07421184	Executive Director	24/09/2016	-	1	0	0	--
Mr.	Tulsi Tejwani	AMEPK2214J 07423670	Executive Director	24/09/2016	-	1	0	0	--
Mr.	Rajeev Kumar	AGVPK0221G 01879049	Nominee Director	28/12/2015	-	1	1	0	--
Mr.	Sunil O. Khandelwal	AGSPK9505G 06430362	Executive Director	10/11/2012	-	0	0	0	Mr. Sunil O. Khandelwal retired by rotation from the Board of Directors of the Company with effect from 24 <sup>th</sup> September, 2016.
Mr.	K. H. Gopal	ADLPG4276G 06430369	Executive Director	10/11/2012	-	0	0	0	Mr. K. H. Gopal retired by rotation from the Board of Directors of the Company with effect from 24 <sup>th</sup> September, 2016.



number of any director would not be displayed on the website of Stock Exchange & Category of Directors means Executive/ Non-executive/ Independent/Nominee. If Director fits into more than one category write all categories separating them with hyphen.

Age is filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of Directors of the listed entity in continuity without any cooling off period.

II. Composition of Committees		
Name of Committee	Name of the Committee Members	Category (Chairperson/ Executive/ Non- Executive/ Independent/ Nominee) \$
1. Audit Committee	1. Mr. Surinder Kumar Bhoan	Chairperson- Independent Director- Non- Executive Director
	2. Mr. Kamalkishore Jani	Independent Director- Non- Executive Director
	3. Mr. Rajeev Kumar	Nominee Director
2. Nomination & Remuneration Committee	1. Mr. Kamalkishore Jani	Chairperson- Independent Director- Non- Executive Director
	2. Mr. Surinder Kumar Bhoan	Independent Director- Non- Executive Director
	3. Mr. Sudhir Garg	Nominee Director
3. Stakeholders Relationship Committee	1. Mr. Surinder Kumar Bhoan	Chairperson -Independent Director- Non- Executive Director
	2. Mr. Ashok B. Jiwrajka	Executive Director
	3. Mr. Dilip B. Jiwrajka	Executive Director
	4. Mr. Surendra B. Jiwrajka	Executive Director

\$ Category of Directors means executive/ non-executive/ independent/Nominee. If Director fits into more than one category write all categories separating them with hyphen.

III. Meeting of Board of Directors		
Date(s) of the Meeting (if any) in the previous quarter	Date(s) of the Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings in the number of days.
30.05.2016	12.09.2016	104 days
---	24.09.2016	12 days

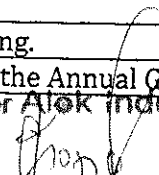
IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of the meeting of the committee in the previous quarter	Maximum gap between any two consecutive meeting in number of days*
Audit Committee- 12.09.2016	YES. The following members were present- 1. Mr. Surinder Kumar Bhoan 2. Mr. Kamalkishore Jani 3. Mr. Rajeev Kumar	30.05.2016	104 days

\*This information has to be mandatorily given for Audit Committee, for rest of the committees giving this information is optional.



<b>V. Related Party Transactions</b>	
<b>Subject</b>	<b>Compliance Status (Yes/ No/ NA)</b>
Whether prior approval of audit committee obtained	YES
Whether shareholder approval obtained for material RPT	N.A
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee.	YES

<b>VI. Affirmations</b>
1. The composition of Board of Directors is yet to be aligned in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. <ul style="list-style-type: none"> <li>a. Audit Committee</li> <li>b. Nomination and remuneration committee</li> <li>c. Stakeholders relationship committee</li> <li>d. Risk management committee (applicable to top 100 companies)</li> </ul>
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committee have been conducted in the manner as specified in (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/ or the report submitted in the previous quarter has been placed before Board of Directors. Any Comments/ advice of Board of Directors may be mentioned above.

<b>VII. Affirmations</b>		
<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status (Yes/No)</b>
Copy of the Annual Report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46 (2)	YES
Presence of Chairperson of the Audit Committee at the Annual General Meeting.	18(1)(d)	YES
Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	YES
<b>Name &amp; Designation</b>	<p style="text-align: center;">For Alok Industries Ltd.</p>  <b>K. H. Gopal</b> <b>Executive Director &amp; Secretary</b>	
<b>Company Secretary/ Compliance Officer/ Managing Director/ CEO</b>		