ALOK INDUSTRIES LIMITED SHAREHOLDING PATTERN AS ON 30th SEPTEMBER, 2023

General information about company	
Scrip code	521070
NSE Symbol	ALOKINDS
MSEI Symbol	NOTLISTED
ISIN	INE270A01029
Name of the company	ALOK INDUSTRIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Alok Industries Limited - Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - S	Summary Statement holdi	ng of specified	securities																				
Category	Category of shareholder	Nos. Of shareholders	No. of fully paid up equity shares	No. Of Partly paid-up equity	No. Of shares underlying	Total nos. shares held	% of total no. of shares (calculated	No of Votir			urities(IX) Total as	No. Of Shares Underlying Outstanding	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of		er of Locked in hares (XII)	pledged	per of Shares d or otherwise cumbered (XIII)	Number of	Sub-cate		n of shares shares) und
(1)	(11)	(III)	held (IV)	shares held (V)	Depository Receipts (VI)	(VII) =	as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg:	Class eg:y	Total	a % of (A+B+C)	convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	torm	Sub- category (i)	Sub- category (ii)	Sub- category (iii)
(A)	Promoter & Promoter Group	2	3723845177			3723845177	75.00	3723845177.00		3723845177.00	75.00				75.00					3723845177			
(B)	Public	662305	1241395224			1241395224	25.00	1241395224.00		1241395224.00	25.00				25.00					1239230184	0	O	0
(C)	Non Promoter- Non Public																						
(C1)	Shares underlying DRs																						
(C2)	Shares held by Employee Trusts																						
	Total	662307	4965240401			4965240401	100	4965240401.00		4965240401.00	100.00				100					4963075361	0	0	0

	ALOK I	NDUSTRIES	LIMITED
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	Category & Name		No. of fully	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of	Number of Voti	ng Righ secur	rities		No. Of Shares Underlying	No. of Shares	No. Of Shares Underlying	Shareholding , as a % assuming full	Numb	er of Locked in shares	pledge	per of Shares d or otherwise	Number of equity shares	Sub-categorization of shares
Sr.	of the Shareholders	Nos. Of shareholders		paid-up equity	underlying Depository	shares held	shares (calculated as per SCRR, 1957)	No of V	oting (: Rights	XIV)	Total as a % of	Outstanding	Underlying Outstanding	Outstanding convertible	conversion of convertible securities		(XII)	en	cumbered (XIII)	held in dematerialized	Shareholding (No. of shares) under
	(I)	(111)	(IV)	(V)	Receipts (VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	Total Voting rights		Warrants (Xi)	securities and No. Of Warrants (Xi) (a)	(as a percentage of diluted share capital) (XI)= (VII)+(X)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	form (XIV)	Sub- category (i) Sub- category (ii) Sub- category (iii)
Α	Table II - Statement showing share	eholding patt	ern of the Pror	noter and Prom	oter Group																
(1)	Indian																				
(a)	Individuals/Hindu undivided Family																				
(b)	Central Government/ State Government(s)																				
(c)	Financial Institutions/ Banks																				
(d)	Any Other (specify)	2	3723845177			3723845177	75.00	3723845177.00		3723845177	75.00				75.00					3723845177	
	Sub-Total (A)(1)	2	3723845177			3723845177	75.00	3723845177.00		3723845177	75.00				75.00					3723845177	
(2)	Foreign																				
(a)	Individuals (NonResident Individuals/ Foreign Individuals)																				
(b)	Government																				
(c)	Institutions																				
(d)	Foreign Portfolio Investor																				
(e)	Any Other (specify)																				
	Sub-Total (A)(2)																				
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3723845177			3723845177	75.00	3723845177.00		3723845177	75.00				75.00					3723845177	

Details of Shares which remain unclaimed for Promoter & Promoter Group - NIL

Alok Industries Limited

Searial No.	Category	Name of the Shareholders (I)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of Voting	ng Rights held in e securities (IX) g (XIV) Rights Total	Total as a % of Total Voting rights	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
A1(d)	Any Other (specify)												
-			!										
1	Bodies Corporate	Reliance Industries Limited	1	1986533333	1986533333	40.01	1986533333.00	1986533333.00	40.01	40.01	1986533333		Promoter
2	Person Acting in Concert	JM Financial Asset Reconstruction Company Limited	1	1737311844	1737311844	34.99	1737311844.00	1737311844.00	34.99	34.99	1737311844		Promoter Group
C	lick here to go back		2	3723845177	3723845177	75.00	3723845177.00	3723845177.00	75.00	75.00	3723845177]	

ALOK IN	DUSTRIES LIMITED																					
							Charabaldha a a a o	Number of Votir	ng Right	s held in each	class of	N of Channe		No. Of Shares	Shareholding, as a %	No la .	and trade of the	Number of Shares	Numbered	Cula and	egorization o	of charge
					No. Of shares	Total nos.	Shareholding as a %		securi	ties		No. Of Shares		Underlying	assuming full		r of Locked in	pledged or otherwise	Number of	Sub-cat	egorization o	r snares
	Category & Name	Nos. Of	No. of fully	No. Of Partly	underlying	shares	of total no. of shares	No of V	oting (X	IV)	Total as	Underlying	No. of Shares	Outstanding	conversion of		shares	encumbered	equity shares			
Sr.	of the	shareholders	paid up equity	paid-up equity	Depository	held	(calculated as per		ights	,	a % of	Outstanding	Underlying	convertible	convertible securities		(XII)	(XIII)	held in	Shareholdi	ng (No. of sha	ares) under
	Shareholders	(III)	shares held	shares held	Receipts	(VII) =	SCRR, 1957)	Class	I I		Total	convertible	Outstanding	securities and	(as a percentage of		As a % of	As a % of	dematerialized			Sub-
	(1)	(,	(IV)	(V)	(VI)	(IV)+(V)+ (VI)	(VIII)		Class	Total	Voting	securities	Warrants (Xi)	No. Of Warrants	diluted share capital)	No.	total Shares	No. total Shares	form	Sub-	Sub-	
					(VI)	(10)+(0)+ (01)	As a % of (A+B+C2)	eg:	eg:y	iotai	rights	(X)		(Xi) (a)	(XI)= (VII)+(X)	(a)	held (b)	(a) total shares held (b)	(XIV)	category (i)	category (ii)	category (iii)
	- I	(.)	LP - L L - L			l .		X			rigits			(AI) (d)	(XI)= (VII)+(X)		neia (b)	neid (b)				(111)
	Table III - Statement showing shareholding pat	tern of the P	ublic snarenoid	ier																		
(1)	nstitutions (Domestic)																				_	
(a)	Mutual Funds	3	1021570			1021570	0.02	1021570	_	1021570	0.02				0.02				1021570	0	0	- 0
(b)	Venture Capital Funds																					
(c)	Alternate Investment Funds																					
(d)	Banks	2	73001			73001	0.00	73001		73001	0.00				0.00				73001	0	0	0
(e)	Insurance Companies	2	15871870			15871870	0.32	15871870		15871870	0.32				0.32				15871870	0	0	0
(f)	Provident Funds/ Pension Funds																					
(g)	Asset reconstruction companies																					
(h)	Sovereign Wealth Funds																					1
(i)	NBFCs registered with RBI	2	75153			75153	0.00	75153		75153	0.00				0.00				75153	0	0	0
(j)	Other Financial Institutions																					
(k)	Any Other (specify)																					
	Sub-Total (B)(1)	9	17041594			17041594	0.34	17041594		17041594	0.34				0.34				17041594	0	0	0
(2)	nstitutions (Foreign)																					
(a)	Foreign Direct Investment																					
(b)	Foreign Venture Capital Investors																					
(c)	Sovereign Wealth Funds																					
(d)	Foreign Portfolio Investors Category I	68	101442010			101442010	2.04	101442010		101442010	2.04				2.04				101442010	0	0	0
(e)	Foreign Portfolio Investors Category II	2	3699383			3699383	0.07	3699383		3699383	0.07				0.07				3699383	0	0	0
	Overseas Depositories (holding DRs) (balancing		5055505			3033303	0.07	3033303		3033303	0.07				0.07				5033303		-	
(f)	figure)																					1
(g)	Any Other (specify)	1	2000000			2000000	0.04	2000000	-	2000000	0.04				0.04				0	0	0	0
(6)	Sub-Total (B)(2)	71				107141393	2.16	107141393		107141393	2.16				2.16				105141393	0	0	0
(3)	Central Government / State Government(s)	/1	10/141393	<u> </u>		10/141393	2.10	107141393		10/141353	2.10				2.10				103141393	0	U	
(a)	Central Government / President of India	1	10000			10000	0.00	10000		10000	0		1		0.00				10000	0	0	
	State Government / Governor	1	10000			10000	0.00	10000		10000	0				0.00				10000	- 0	U	- 0
(b)									-													
(-)	Shareholding by Companies or Bodies																					1
(c)	Corporate where Central / State Government is																					1
	a promoter		10000			40000	0.00	40000		40000	0.00				0.00				10000	^	0	
(4)	Sub-Total (B)(3)	1	10000			10000	0.00	10000		10000	0.00		<u> </u>		0.00				10000	0	0	. 0
(4)	Non-institutions														1							
(a)	Associate companies / Subsidiaries								_													-
(b)	Directors and their relatives (excluding																					1
	independent directors and nominee directors)																					
(c)	Key Managerial Personnel	1	40			40	0.00	40		40	0.00				0.00				40	0	0	0
	Relatives of promoters (other than 'immediate		ĺ	1																		1
(d)	relatives' of promoters disclosed under		1													I						1
(u)	'Promoter and Promoter Group' category)		1													I						1
	Fromoter and Promoter Group Category)																					
	Trusts where any person belonging to																					
(e)	'Promoter and Promoter Group' category is		1													I						1
	'trustee', 'beneficiary', or 'author of the trust'		1													I						1
(f)	Investor Education and Protection Fund (IEPF)	1	3352963			3352963	0.07	3352963		3352963	0.07				0.07				3352963	0	0	0
	Resident Individuals holding nominal share																					
(g)	capital up to Rs. 2 lakhs	650551	824546035]		824546035	16.61	824546035		824546035	16.61				16.61				824387995	n	0	n
	Resident Individuals holding nominal share		22.2.2000				10.01	22 .2 .3033		,					10:01				52.55.333			
(h)	capital in excess of Rs. 2 lakhs	346	183101303]		183101303	3.69	183101303		183101303	3.69				3.69				183101303	0	0	n
(i)	Non Resident Indians (NRIs)	3135	23876218			23876218	0.48	23876218		23876218	0.48				0.48				23869818	0	0	0
(i)	Foreign Nationals	5133	230,0218	 		23070218	0.46	23073218		23070210	0.40				0.48				23003818	- 0	- 0	-
(k)	Foreign Companies															-						-
(K) (I)		1065	39220022	 		39220022	0.79	39220022		39220022	0.79				0.79				39219422	0	0	
(I) (m)	Bodies Corporate	7125	43105656			43105656	0.79	43105656		43105656	0.79				0.79				43105656	0	0	0
(m)	Any Other (specify)	662224				43105656 1117202237	22.50	43105656 1117202237		43105656	22.50				22.50	-			43105656 1117037197	0	0	0
	Sub-Total (B)(4) Total Public Shareholding	662224	111/20223/	\vdash		111/20223/	22.50	111/20223/	\vdash	111/20223/	22.50				22.50	-			111/03/19/	0	0	0
			42460000			42442222		424-225		434400=00	25.00				00.00				4220222			
	(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	662305	1241395224			1241395224	25.00	1241395224		1241395224	25.00				25.00				1239230184	0	0	. 0

Details of Shares which remain unclaimed for Public

	Serial		Outstanding shares held in		Disclosure of notes on shares which
		Number of shareholders	demat or unclaimed suspense	voting rights which are frozen	remain unclaimed for public
	No.		account		shareholders
Г	1	79	30540	30540	

Searial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No of V	r of Voting Rig class of secur 'oting (XIV) ights	1	assuming full conversion of convertible securities (as a percentage of	Number of equity shares held in demateriali zed form (XIV)	Reason for not	Shareho	gorization ding (No. c under Sub- category (ii)	
B2(g)	Any Other (specify)															
1	Other		Megallan Consulting Limited- Foreign Institutional Investor	1	2000000	2000000	0.04	2000000	2000000.00	0.04	0.04	0		0	0	0
	Click h	ere to go back	Total	1	2000000	2000000	0.04	2000000	2000000.00	0.04	0.04	0		0	0	0

Alok Industries Limited

Searial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % - of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	clas No of Vo	Voting Rights h s of securities ting (XIV) hts		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form		gorization of ding (No. of under Sub- category (ii)	
B4(m)	Any Other (specify)														
															,
1	Trusts	Category		7	176325	176325	0.00	176325	176325	0.00	0.00	176325	0	0	0
2	LLP	Category		74	3607039	3607039	0.07	3607039	3607039	0.07	0.07	3607039	0	0	0
3	HUF	Category		7021	39253940	39253940	0.79	39253940	39253940	0.79	0.79	39253940	0	0	0
4	Clearing Members	Category		22	37812	37812	0.00	37812	37812	0.00	0.00	37812	0	0	0
	Unclaimed or Suspense or Escrow Account	Category		1	30540	30540	0.00	30540	30540	0.00	0.00	30540	0	0	0
	Click h	ere to go back	Total	7125	43105656	43105656	0.86	43105656.00	43105656.00	0.86	0.86	43105656	0	0	0

Sr.	Category & Name of the Shareholders	Nos. Of shareholders	paid up equity	No. Of Partly paid-up equity shares held	No. Of shares underlying Depository	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voti	securit	ies (IX)	Total as	No. Of Shares Underlying Outstanding convertible	No. of Shares Underlying Outstanding	Outstanding	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	in sh	r of Locked shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized	Sub-categ Sharehold		
	(I)	(111)	(IV)	(V)	Receipts (VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	Total Voting rights	securities (X)	Warrants (Xi)			No	a % of total nares held (b)	No. (a) As a % of total Shares held (b)	form	Sub- category (i)	Sub- category (ii)	Sub- category (iii)
С	Table IV - Statement showing shareholding	g pattern of th	ne Non Promot	er- Non Public	shareholder																	
(1)	 Custodian/DR Holder - Name of DR Holders (If Available) 	F																				
(2)) Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021																					
	Total NonPromoter- Non Public Shareholding	5																				
	Total (A+B+C2)	662307	4965240401			4965240401	100.00	4965240401.00		4965240401	100.00				100.00				4963075361	0	0	0
	Total (A+B+C)	662307	4965240401			4965240401	100.00	4965240401.00		4965240401	100.00				100.00				4963075361	0	0	0

Note 1:

In accordance with the Resolution Plan approved by the National Company Law Tribunal, Ahmedabad Bench ("Approved Resolution Plan"), Reliance Industries Limited (RIL) along with JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of 'JMFARC- March 2018 – Trust'- (JMFARC) have acquired joint control of the Company with effect from September 14, 2020.

As a part of the Approved Resolution Plan, the Company had on February 28, 2020, allotted on preferential basis to RIL 83,33,333 equity shares of Re. 1/- each at a premium of Rs 2/- per equity share for a total consideration of Rs. 250 crore and 250,00,00,000 - 9% Optionally Convertible Preference Shares (OCPS) of Re. 1 each for cash at par, for a total consideration of Rs. 250 crore

As per the terms and conditions of the OCPS, RIL was entitled to convert all or part of the OCPS into equity shares of the Company at any time on or before the expiry of 18 months from the date of their allotment, i.e. August 28, 2021. Since the option to convert OCPS into equity shares of the Company has not been exercised, the Company will now, as per the terms and conditions of the OCPS, redeem the same on the last day of the 10th anniversary of the date of allotment, such that the Company on redemption pays an amount equal at least to the outstanding OCPS Subscription Amount to RIL.

Under serial no. 2 of the Declaration tab i.e. 'Whether the Listed Entity has issued any Convertible Securities?', 'No' is selected because the Company as on September 30, 2023 does not have any convertible securities.

Further, in accordance with the Approved Resolution Plan, the Company on September 10, 2020, further allotted on preferential basis:

i. 115,32,00,000 equity shares of the face value of Re. 1/- (Rupee One only) each, fully paid up, to RIL, pursuant to conversion of debt.

ii. 160,14,00,000 equity shares of the face value of Re. 1/- (Rupee One only) each, fully paid up, to JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of 'JMFARC- March 2018 – Trust'- (JMFARC), pursuant to conversion of debt.

Note 2:

JM Financial Asset Reconstruction Company Limited, acting in its capacity as Trustee of JMFARC – March 2018 – Trust (JMFARC) is the 'persons acting in concert' (PAC) with Reliance Industries Limited but considering that there is no provision for disclosing PAC with Promoter and Promoter Group in the prescribed format, they have been shown as part of the promoter group with an explanatory note.

The details of shareholding of JMFARC are given below:

JM Financial Asset Reconstruction Company Limited (acting in its capacity as Trustee of JMFARC – March 2018 – Trust) holds 1,73,73,11,844 equity shares, constituting 34.99% of the equity capital.

Note 3:

Voting rights are frozen on 33,52,963 equity shares held by Investor Education and Protection Fund (IEPF).

Table VI - Statement showing foreign ow	nership limits	
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	2.48
As on the end of previous 1st quarter	100.00	2.49
As on the end of previous 2nd quarter	100.00	2.41
As on the end of previous 3rd quarter	100.00	2.35
As on the end of previous 4th quarter	100.00	2.31

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.