ALOK INDUSTRIES LIMITED SHAREHOLDING PATTERN AS ON 30th JUNE, 2023

General information about company	
Scrip code	521070
NSE Symbol	ALOKINDS
MSEI Symbol	NOTLISTED
ISIN	INE270A01029
Name of the company	ALOK INDUSTRIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Alok Industries Limited - Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

	DUSTRIES LIMITED																						
Table I - :	Summary Statement holdi	ing of specified	securities																				
Category	Category of shareholder	Nos. Of shareholders		No. Of Partly paid- up equity	No. Of shares underlying Depository		Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	lumber of Voting Rig			rities(IX) Total as a	No. Of Shares Underlying Outstanding	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of		per of Locked in hares (XII)	pledged end	er of Shares d or otherwise cumbered (XIII)	Number of equity shares held in		tegorization ing (No. of sh	of shares hares) under
(1)	(11)	(III)	held (IV)	shares held (V)	Receipts (VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	% of (A+B+C)	convertible	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	form (XIV)	Sub- category (i)	Sub- category (ii	Sub- category (iii)
(A)	Promoter & Promoter Group	2	3723845177			3723845177	75.00	3723845177.00		3723845177.00	75.00				75.00					3723845177			
(B)	Public	575513	1241395224			1241395224	25.00	1241395224.00		1241395224.00	25.00				25.00					1239228984	0	(0
(C)	Non Promoter- Non Public																						
(C1)	Shares underlying DRs																						
(C2)	Shares held by																						
	Employee Trusts																						
	Total	575515	4965240401			4965240401	100	4965240401.00		4965240401.00	100.00				100					4963074161	0	(0

ALOK INDUSTRIES LIMITED

	Category & Name		No. of fully	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of	Number of Voti	secur	ities		No. Of Shares Underlying	No. of Shares	No. Of Shares Underlying	Shareholding , as a % assuming full	Numb	er of Locked in shares	pledge	per of Shares d or otherwise	Number of equity shares	Sub-ca	tegorization o	of shares
Sr.	of the Shareholders	Nos. Of shareholders		paid-up equity	underlying Depository	shares held	shares (calculated		/oting () Rights	XIV)	Total as a % of	Outstanding		Outstanding convertible	conversion of convertible securities		(XII)		cumbered (XIII)	held in dematerialized		ling (No. of sh	ares) under
	(I)	(III)	(IV)	(V)	Receipts (VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	Total Voting rights		Warrants (Xi)	securities and No. Of Warrants (Xi) (a)	(as a percentage of diluted share capital) (XI)= (VII)+(X)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	form (XIV)	Sub-	Sub- category (ii)	Sub- category (iii)
Α	Table II - Statement showing share	eholding pat	tern of the Pror	noter and Prom	noter Group																		
(1)																							
(a)	Individuals/Hindu undivided																						
(b)	Central Government/ State Government(s)																						
(c)	Financial Institutions/ Banks																						
(d)	Any Other (specify)	2	3723845177			3723845177	75.00	3723845177.00		3723845177	75.00				75.00					3723845177			
	Sub-Total (A)(1)	2	3723845177			3723845177	75.00	3723845177.00		3723845177	75.00				75.00					3723845177			
(2)	0																						
(a)	Individuals (NonResident Individuals/ Foreign Individuals)																						
(b)	Government																						
(c)	Institutions																						
(d)	Foreign Portfolio Investor																						
(e)	Any Other (specify)																						
	Sub-Total (A)(2)																						
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		3723845177			3723845177	75.00	3723845177.00		3723845177	75.00				75.00					3723845177			

Details of Shares which remain unclaimed for Promoter & Promoter Group - NIL

Alok Industries Limited

		Name	No.	No. of fully	Total nos.	Shareholding as a % of total no. of	:	ng Rights held in e securities (IX) g (XIV) Rights		Shareholding , as a % assuming full conversion	Number of equity shares	Reason for	
Searial No.	Category	of the Shareholders (I)	of the Shareholders (I)	paid up equity shares held (IV)	shares held (VII) = (IV)+(V)+ (VI)	shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class	Total Voting	Total as a % of Total Voting rights	of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	held in dematerialized form (XIV)	not providing PAN	Shareholder type
A1(d)	Any Other (specify)		<u>'</u>				•					•	
1	Bodies Corporate	Reliance Industries Limited	1	1986533333	1986533333	40.01	1986533333.00	1986533333.00	40.01	40.01	1986533333		Promoter
2	Person Acting in Concert	JM Financial Asset Reconstruction Company Limited	1	1737311844	1737311844	34.99	1737311844.00	1737311844.00	34.99	34.99	1737311844		Promoter Group
	Click here to go back		2	3723845177	3723845177	75.00	3723845177.00	3723845177.00	75.00	75.00	3723845177]	

Part	ALOK IN	DUSTRIES LIMITED																					
Company A broad Part Company A broad Part Company A broad Part Company A broad Part Part								Charabaldian as a 0/	Number of Votin	g Right	s held in each	class of	N- Of Channe		No. Of Shares	Shareholding, as a %	No la .		Number of Shares	Normalian	Cub oot	togorization e	of charge
Second		Cotonous & Nove		No effects	No Of Books	No. Of shares	Total nos.			secur	ities			No. of Channe	Underlying	assuming full			pledged or otherwise	· [Sub-cat	regorization c	or snares
Second Continue			Nos. Of			underlying	shares		No of V	oting ()	(IV)	Total as			Outstanding	conversion of			encumbered		Charabald	(8)	
Part	Sr.					Depository	held		Ri	ights		a % of		, .	convertible	convertible securities		(XII)	(XIII)		Snarenoidi	ing (No. of sn	ares) under
Second Second power of the National Second			(III)			Receipts	(VII) =		Class			Total			securities and	(as a percentage of		As a % of	As a % of				Sub-
March Testiment floring general disability control of the National Property of the National Pr		(1)		(IV)	(V)	(VI)	(IV)+(V)+ (VI)		eg:		Total	Voting		warrants (XI)	No. Of Warrants	diluted share capital)		total Shares	No. total Shares				category
1								AS a % of (A+B+C2)	x	eg:y		rights	(X)		(Xi) (a)		(a)		(a) held (b)	(XIV)	category (i)	category (ii)	
1	В	Table III - Statement showing shareholding pat	tern of the Pi	ublic sharehold	ler																		
Description Control Funds Funds Description Desc																							
Section Sect	(a)	Mutual Funds	2	11384			11384	0.00	11384		11384	0.00				0.00				11384	0	0	0
Bell	(b)	Venture Capital Funds																					
Description Control	(c)	Alternate Investment Funds																					
Production Foundation Foundatio	(d)	Banks	3	83001				0.00	83001		83001	0.00				0.00				83001	0	0	0
Section Control Cont		Insurance Companies	2	15871870			15871870	0.32	15871870		15871870	0.32				0.32				15871870	0	0	0
O	(f)	Provident Funds/ Pension Funds																					
10	(g)	Asset reconstruction companies																					
December Control Con	(h)																						
April Apri			2	75153			75153	0.00	75153		75153	0.00				0.00				75153	0	0	0
Section Sect																							
Columbia Columbia	(k)																						
Display Control Co			9	16041408			16041408	0.32	16041408		16041408	0.32				0.32				16041408	0	0	0
December Process December																							
Col.																							
Go Foreign Perfolio Investion Canagery Go 104,007.22 30,008.022 20.0 0.08 30,000.022 0.0 0.08 30,000.022 0.0 0.08 30,000.00 0.																							
Control Processing Processing 2 3870.26 3870.26 3870.26 0.0 0 0 0 0 0 0 0 0																							
Overview Depositioning Pool (ballancing			66																		0	0	0
Figure	(e)		2	3870226			3870226	0.08	3870226		3870226	0.08				0.08				3870226	0	0	0
Page	(f)																						
Description Control Government / Pasted Conformation Pasted																							
Central Government Total Convernment Central Convernment C	(g)		1																	0	0	0	0
Central Coverment President of India 1 10000 10000 0.00 0.00 10000 0.00 0.00 10000 0.00 0.00 10000 0.00 0			69	107270748			107270748	2.16	107270748		107270748	2.16				2.16				105270748	0	0	0
Description Composer Concented Conc																							
Shareholding by Companies or Bodies			1	10000			10000	0.00	10000		10000	0				0.00				10000	0	0	0
Copporate where Central / State Governments	(b)																						
A promoter Sub-Total (8)(3) 1 10000 10000 10000 10000 10000 0.00 100000 100000 100000 100000 100000 100000 100000 100000 100000 100000 100000 1000000 1000000 100000000																							
Sub-Total (b)(8) 1 100000 100000 100000 100000 100000 100000 100000 1000000 1000000 100000000	(c)																						
Non-institutions				10000			40000		10000		10000												
Associate companies / Subbildiaries	(4)		1	10000		<u> </u>	10000	0.00	10000		10000	0.00		<u> </u>		0.00	<u> </u>	<u> </u>		10000	0	0	0
Directors and their relatives (excluding independent directors)																							
Column C	(a)									_													
Column C	(b)																						
Relatives of promoters (other than "immediate relatives" of promoters disclosed under "Promoter and Promoter Group" category)	(c)		- 1	40			40	0.00	40		40	0.00				0.00				40	0	0	0
Contract Contract	(c)	key Manageriai Personnei	1	40			40	0.00	40		40	0.00				0.00				40	U	U	U
Promoter and Promoter Group' category		Relatives of promoters (other than 'immediate		İ	1												l						
Trusts where any person belonging to Promoter and Promoter Group' category is Trustee', beneficiary, or "author of the rust" 1 3393094 3393094 3393094 3393094 0.07 3393094 3393094 0.07 3393094 0.07 3393094 0.07 3393094 0.07 3393094 0.07 3393094 0.07 3393094 0.07 0.07 3393094 0.07 0.0	(d)																						
Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'		'Promoter and Promoter Group' category)		1																			
Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'		Truste where any nersen helensing :-								-1													
'trustee', 'beneficiary', or 'author of the trust' 3393094 0.07 3393094 0.0	(0)																						
(f) Investor Education and Protection Fund (IEPF) 1 3393094 0.07 3393094 0.07 0.07 0.07 0.07 0.07 0.07 0.07 0.0	(e)			İ	1												l						
Resident Individuals holding nominal share capital up to Rs. 2 lakhs 563835 820971168 820971168 16.53 820971168 16.53 16.53 16.53 820971168 820811928 0 0	(f)		1	3303004			3303004	0.07	3303004	-1	3303004	0.07				0.07				3303004	0	0	0
Capital up to Rs. 2 lakhs 563835 820971168 820971168 16.53 820971168 16.53 16.	(1)		1	3333094			5595094	0.07	3393094		3393094	0.07				0.07				3393094	0	0	U
(h) Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs 368 189302227 3.81 189302227 3.81 189302227 3.81 3.81 3.81 3.81 3.81 3.81 3.81 3.81	(g)		563925	820071160			820071160	16 50	820071160		820071160	16 52				16 50				820811020	0	_	0
(i) Capital in excess of Rs. 2 lakhs 368 189302227 18930227 3.81 189302227 3.81 3.81 3.81 18930227 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	-		203635	9203/1198			8209/1168	16.53	9203/1168		8209/1168	10.53				16.53				620611928	0	U	U
(i) Non Resident Indians (NRIs) 3043 23172990 23172990 0.47 23172990 0.47 23172990 0.47 0.47 0.47 0.47 0.47 0.47 0.47 0.4	(h)		200	189302227			189302227	2 01	180302227		180302227	3 91				2 01				189302227	0	_	0
(i) Foreign Nationals (k) Foreign Companies (l) Bodies Corporate 1072 38962709 38962709 38962709 0.78 38962709 0.78 0.78 0.78 0.78 0.78 0.78 0.78 0.78	(i)																				0	0	0
(k) Foreign Companies (l) 8odies Corporate 1072 38962709 38962709 0.78 38962709 0.78 0.78 0.78 0.78 38962109 0 0 (m) Any Other (specify) 7114 42270840 42270840 0.85 42270840 42270840 0.85 0.85 0.85 0.85 0.85 0.85 0.85 0.8			5043	23172990			23172330	0.47	23172330		23172330	0.47				0.47				25100390	0	-	0
(I) Bodies Corporate 1072 38962709 38962709 0.78 38962709 0.78 0.78 0.78 0.78 0.78 0.78 0.78 0.78																							
(m) Any Other (specify) 7114 42270840 42270840 0.85 42270840 0.85 0.85 0.85 0.85 42270840 0.00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			1072	38962709			38962709	0.78	38962709		38962709	0.78				n 78				38962109	n	n	n
Sub-Total (B)(4) 575434 118073068 118073068 22.52 118073068 22.52 22.52 1117906828 0 0																					0	0	0
Total Public Shareholding	,,																				0	0	0
			0.0101					LEIJE								ELIGE						·	
		(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)	575513	1241395224			1241395224	25.00	1241395224		1241395224	25.00				25.00				1239228984	0	0	0

Details of Shares which remain unclaimed for Public

	Serial		Outstanding shares held in		Disclosure of notes on shares which
		Number of shareholders	demat or unclaimed suspense	voting rights which are frozen	remain unclaimed for public
	No.		account		shareholders
Г	1	79	30540	30540	

							Shareholding as a		of Voting Rig		Shareholding , as a % assuming full	Number of		Sub-cate	gorization	of shares
Searial		Category /	Name of the	No. of the	No. of fully paid up	Total nos. shares	% of total no. of shares (calculated		oting (XIV) ights	Total as	conversion of convertible securities	equity shares	Reason for not	Sharehol	ding (No. o under	of shares)
No.	Category	More than 1 percentage	Shareholders (I)	Shareholders	shares held	held (VII) = (IV)+(V)+ (VI)	as per SCRR, 1957)	Class eg: X	Total	a % of Total Voting rights	(as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	dematerialized form (XIV)		Sub- category (i)	Sub- category (ii)	Sub- category (iii)
B2(g)	Any Other (specify)															
1	Other	0 /	Megallan Consulting Limited- Foreign Institutional Investor	1	2000000	2000000	0.04	2000000	2000000.00	0.04	0.04	0		0	0	0
	. Click I	here to go back	Total	1	2000000	2000000	0.04	2000000	2000000.00	0.04	0.04	0		0	0	0

Alok Industries Limited

Searial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	clas No of Vo	Voting Rights h s of securities (ting (XIV) hts Total		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)		egorization Iding (No. c under Sub- category (ii)	
B4(m)	Any Other (specify)														
	I_	T _		T -									_	1 -	_
1	Trusts	Category		6	145325	145325	0.00	145325	145325	0.00	0.00	145325	0	0	0
2	LLP	Category		73	2749396	2749396	0.05	2749396	2749396	0.05	0.05	2749396	0	0	0
3	HUF	Category		7015	39302970	39302970	0.79	39302970	39302970	0.79	0.79	39302970	0	0	0
4	Clearing Members	Category		19	42609	42609	0.01	42609	42609	0.01	0.01	42609	0	0	0
	Unclaimed or Suspense or Escrow Account	Category		1	30540	30540	0.00	30540	30540	0.00	0.00	30540	0	0	0
	Click h	ere to go back	Total	7114	42270840	42270840	0.85	42270840.00	42270840.00	0.85	0.85	42270840	0	0	0

Sr.	Category & Name of the Shareholders	Nos. Of shareholders		No. Of Partly paid-up equity shares held	No. Of shares underlying Depository	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	No of Voti	securiti	ies (IX)	Total as a % of	No. Of Shares Underlying Outstanding convertible	No. of Shares Underlying Outstanding	Outstanding convertible	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	Number of Lo in shares (XII)	,	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized	Sharehold	gorization of s ding (No. of sl under	shares)
	(1)	(111)	(IV)	(V)	Receipts (VI)	(VII) = (IV)+(V)+	(VIII) As a % of (A+B+C2)	Class	Class eg:y	Total	Total Voting rights	securities (X)	Warrants (Xi)	securities and No. Of Warrants (Xi) (a)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. As a % of Shares i		No. (a) As a % of total Shares held (b)	form	Sub- category (i)	category ca	Sub- ategory (iii)
С	Table IV - Statement showing shareholding	pattern of th	e Non Promot	er- Non Public s	hareholder																	
(1)	Custodian/DR Holder - Name of DR Holders (If Available)																					
' '	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021																					
	Total NonPromoter- Non Public Shareholding																					
	Total (A+B+C2)	575515	4965240401			4965240401	100.00	4965240401.00		4965240401	100.00				100.00				4963074161	0	0	0
	Total (A+B+C)	575515	4965240401			4965240401	100.00	4965240401.00		4965240401	100.00				100.00				4963074161	0	0	0

Note 1:

In accordance with the Resolution Plan approved by the National Company Law Tribunal, Ahmedabad Bench ("Approved Resolution Plan"), Reliance Industries Limited (RIL) along with JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of 'JMFARC- March 2018 – Trust'- (JMFARC) have acquired joint control of the Company with effect from September 14, 2020.

As a part of the Approved Resolution Plan, the Company had on February 28, 2020, allotted on preferential basis to RIL 83,33,333 equity shares of Re. 1/- each at a premium of Rs 2/- per equity share for a total consideration of Rs. 250 crore and 250,00,00,000 - 9% Optionally Convertible Preference Shares (OCPS) of Re. 1 each for cash at par, for a total consideration of Rs. 250 crore

As per the terms and conditions of the OCPS, RIL was entitled to convert all or part of the OCPS into equity shares of the Company at any time on or before the expiry of 18 months from the date of their allotment, i.e. August 28, 2021. Since the option to convert OCPS into equity shares of the Company has not been exercised, the Company will now, as per the terms and conditions of the OCPS, redeem the same on the last day of the 10th anniversary of the date of allotment, such that the Company on redemption pays an amount equal at least to the outstanding OCPS Subscription Amount to RIL.

Under serial no. 2 of the Declaration tab i.e. 'Whether the Listed Entity has issued any Convertible Securities?', 'No' is selected because the Company as on June 30, 2023 does not have any convertible securities.

Further, in accordance with the Approved Resolution Plan, the Company on September 10, 2020, further allotted on preferential basis:

- i. 115,32,00,000 equity shares of the face value of Re. 1/- (Rupee One only) each, fully paid up, to RIL, pursuant to conversion of debt.
- ii. 160,14,00,000 equity shares of the face value of Re. 1/- (Rupee One only) each, fully paid up, to JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of 'JMFARC- March 2018 Trust'- (JMFARC), pursuant to conversion of debt.

Note 2:

JM Financial Asset Reconstruction Company Limited, acting in its capacity as Trustee of JMFARC – March 2018 – Trust (JMFARC) is the 'persons acting in concert' (PAC) with Reliance Industries Limited but considering that there is no provision for disclosing PAC with Promoter and Promoter Group in the prescribed format, they have been shown as part of the promoter group with an explanatory note.

The details of shareholding of JMFARC are given below:

JM Financial Asset Reconstruction Company Limited (acting in its capacity as Trustee of JMFARC – March 2018 – Trust) holds 1,73,73,11,844 equity shares, constituting 34.99% of the equity capital.

Note 3:

Voting rights are frozen on 33,93,094 equity shares held by Investor Education and Protection Fund (IEPF).

Table VI - Statement showing foreign ow	nership limits	
Particular Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	2.49
As on the end of previous 1st quarter	100.00	2.41
As on the end of previous 2nd quarter	100.00	2.35
As on the end of previous 3rd quarter	100.00	2.31
As on the end of previous 4th quarter	100.00	2.29

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.