

Alok Industries Limited

Corporate Governance Report for the Quarter ended 31st December, 2022

General information about company

Scrip code	521070
NSE Symbol	ALOKINDS
MSEI Symbol	NOTLISTED
ISIN	INE270A01029
Name of the entity	ALOK INDUSTRIES LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Quarterly
Date of Report	31-12-2022
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson																									
Is there any change in information of board of directors compare to previous quarter																									
Whether Chairperson is related to MD or CEO																									
Disqualification of Directors under section 164 of the Companies Act, 2013																									
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of membership in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	HEMANT DESAI	ABKPD0745Q	00008531	Non-Executive - Nominee Director	Not Applicable		29-05-1952	No				Active	NA		14-09-2020	29-12-2020			1	0	0	0		
2	Mr	ANIL KUMAR RAJBANSHI	ACEPRS037J	03370674	Non-Executive - Nominee Director	Not Applicable		08-09-1956	No				Active	NA		14-09-2020	29-12-2020			1	0	1	1		
3	Mr	VENKATARAMAN RAMCHANDRAN	ABTPRS001Q	02032853	Non-Executive - Nominee Director	Not Applicable		26-06-1971	No				Active	NA		14-09-2020	29-12-2020			1	0	2	0		
4	Mr	NIRAV PAREKH	AMLPP0266G	09505075	Non-Executive - Nominee Director	Not Applicable		06-05-1985	No				Active	NA		03-03-2022	06-04-2022			1	0	0	0		
5	Mr	SIDDHARTH ACHUTHAN	AAAPAS332M	00016278	Non-Executive - Independent Director	Chairperson		16-05-1953	No				Active	NA		14-09-2020	29-12-2020		27.17	4	3	10	5		
6	Mr	RAHUL DUTT	AEKPD8620B	08872616	Non-Executive - Independent Director	Not Applicable		24-08-1976	No				Active	NA		14-09-2020	29-12-2020		27.17	2	2	4	0		
7	Mrs	MUMTAZ BANDUKWALA	AAAP86390A	07129301	Non-Executive - Independent Director	Not Applicable		15-11-1965	No				Active	NA		14-09-2020	29-12-2020		27.17	1	1	3	0		

Annexure 1

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Is there any change in information of committees compare to previous quarter

Yes

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41#Gdwh#ri#Dssrlqwpqh#wdqg#Gdwh#ri#Fhvvdwl
pdqgdwrulo|#ilooHg#iru#hyhu|#Frplwvhh1
51#Gdwh#ri#Dssrlqwpqh#wfdq#eh#dq|#gd|#xswr
61#Gdwh#ri#Fhvvdwlrq#pxvw#eh#iru#wkh#fxuuh
Vhswhehu#63/5355**Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically**

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00016278	SIDDHARTH ACHUTHAN	Non-Executive - Independent Director	Chairperson	14-09-2020		
2	08872616	RAHUL DUTT	Non-Executive - Independent Director	Member	14-09-2020		
3	02032853	VENKATARAMAN RAMCHANDRAN	Non-Executive - Nominee Director	Member	14-09-2020		
4	07129301	MUMTAZ BANDUKWALA	Non-Executive - Independent Director	Member	26-08-2021		

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08872616	RAHUL DUTT	Non-Executive - Independent Director	Chairperson	14-09-2020		
2	00016278	SIDDHARTH ACHUTHAN	Non-Executive - Independent Director	Member	14-09-2020		
3	00008531	HEMANT DESAI	Non-Executive - Nominee Director	Member	14-09-2020		

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03370674	ANIL KUMAR RAJBANSHI	Non-Executive - Nominee Director	Chairperson	14-09-2020		
2	00016278	SIDDHARTH ACHUTHAN	Non-Executive - Independent Director	Member	14-09-2020		
3	07129301	MUMTAZ BANDUKWALA	Non-Executive - Independent Director	Member	14-09-2020		
4	02032853	VENKATARAMAN RAMCHANDRAN	Non-Executive - Nominee Director	Member	14-09-2020		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07129301	MUMTAZ BANDUKWALA	Non-Executive - Independent Director	Chairperson	15-09-2020		
2	03370674	ANIL KUMAR RAJBANSHI	Non-Executive - Nominee Director	Member	15-09-2020		
3	02032853	VENKATARAMAN RAMCHANDRAN	Non-Executive - Nominee Director	Member	15-09-2020		

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07129301	MUMTAZ BANDUKWALA	Non-Executive - Independent Director	Chairperson	14-09-2020		
2	08872616	RAHUL DUTT	Non-Executive - Independent Director	Member	14-09-2020		
3	02032853	VENKATARAMAN RAMCHANDRAN	Non-Executive - Nominee Director	Member	14-09-2020		

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1						

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	18-07-2022			Yes	7	7	3
2	15-10-2022	88		Yes	7	5	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	18-07-2022				Yes	4	4	3	0
2	Audit Committee	15-10-2022	88			Yes	4	4	3	0
3	Risk Management Committee	15-09-2022				Yes	3	3	1	0
4	Stakeholders Relationship Committee	17-10-2022				Yes	4	4	2	0

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			
Disclosure of notes of material transaction with related party			

Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015.	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements)	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	

ALOK INDUSTRIES LIMITED

Signatory Details	
Name of signatory	Hitesh Kanani
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	20-01-2023