

FORM NO. MGT-7

[Pursuant to sub-section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L17110DN1986PLC000334

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACA0201C

(ii) (a) Name of the company

ALOK INDUSTRIES LIMITED

(b) Registered office address

17/5/1, 521/1,
Village Rakholi/ Saily,
Silvassa
Dadar Nagar Haveli
INDIA

(c) *email-ID of the company

premkumar@alokind.com

(d) *Telephone number with STD code

02602645289

(e) Website

www.alokind.com

(iii) Date of Incorporation

12/03/1986

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	Bombay Stock Exchange	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

Link Intime India Private Limited

Registered office address of the Registrar and Transfer Agents

C 13 PANNALAL SILKMILLS COMPOUND L B S MARG]
BHANDUP (W)

(vii) *Financial year From 01/10/2013 (DD/MM/YYYY) To 31/03/2015 (DD/MM/YYYY)

(viii) *Whether Annual General Meeting (AGM) held Yes No

(a) If yes, date of AGM 26/06/2015

(b) Due date of AGM 26/06/2015

(c) Whether any extension for AGM granted Yes No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension C39657754

(e) Extended due date of AGM after grant of extension 26/06/2015

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 13

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/ Associate/ Joint Venture	% of shares held
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1	ALOK INFRASTRUCTURE LIMITED	U45201MH2006PLC164267	Subsidiary	98.8
2	Alok Singapore Pte Ltd		Subsidiary	100
3	Alok International Inc.		Subsidiary	100
4	Alok Worldwide Limited		Subsidiary	100
5	Alok International (Middle East)		Subsidiary	100
6	Alok Global Singapore Pte Ltd		Subsidiary	100
7	Alok Merchant Singapore Pte Ltd		Subsidiary	100
8	Alok Trading Singapore Pte Ltd		Subsidiary	100
9	Alok Universal Singapore Pte Ltd		Subsidiary	100
10	Alok Global Trading (Middle East)		Subsidiary	100
11	Triumphant Victory Holdings Ltd		Associate	16.67
12	NEW CITY OF BOMBAY MFG. M	U17291MH2007GOI195493	Joint Venture	49
13	AURANGABAD TEXTILES AND	U17121MH2007GOI195403	Joint Venture	49

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of equity shares	1,500,000,000	1,377,331,816	1,377,331,816	1,377,317,895
Total amount of equity shares (in rupees)	15,000,000,000	13,773,318,160	13,773,318,160	13,773,178,950

Number of classes

1

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of equity shares	1,500,000,000	1,377,331,816	1,377,331,816	1,377,317,895
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	15,000,000,000	13,773,318,160	13,773,318,160	13,773,178,950

(b) Preference share capital

Particulars	Authorised Capital	Issued capital	Subscribed capital	Paid Up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised Capital	Issued capital	Subscribed Capital	Paid Up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of Shares	Number of shares	Total Nominal Amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	1,377,115,595	13,771,155,950	13,771,155,950	
Increase during the year	202,300	2,023,000	2,023,000	0
i. Public Issues	0	0	0	
ii. Rights issue	0	0	0	
iii. Bonus issue	0	0	0	
iv. Private Placement/ Preferential allotment	0	0	0	
v. ESOPs	202,300	2,023,000	2,023,000	0
vi. Sweat equity shares allotted	0	0	0	
vii. Conversion of Preference share	0	0	0	
viii. Conversion of Debentures	0	0	0	
ix. GDRs/ADRs	0	0	0	
x. Others, specify				

Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	
ii. Shares forfeited	0	0	0	
iii. Reduction of share capital	0	0	0	
iv. Others, specify				
At the end of the year	1,377,317,895	13,773,178,950	13,773,178,950	

Preference shares

At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	
ii. Re-issue of forfeited shares	0	0	0	
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	
ii. Shares forfeited	0	0	0	
iii. Reduction of share capital	0	0	0	
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of Previous AGM	<input style="width: 95%;" type="text"/>
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Date of Registration of Transfer	<input style="width: 95%;" type="text"/>
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Type of Transfer	<input style="width: 95%;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	<input style="width: 95%;" type="text"/>	Amount per Share/ debenture/ unit (in Rs.)	<input style="width: 95%;" type="text"/>
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Ledger Folio of Transferor	<input style="width: 95%;" type="text"/>
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Transferor's Name	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
	Surname	Middle Name	First Name

Ledger Folio of Transferee	<input style="width: 95%;" type="text"/>
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Transferee's Name	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>	<input style="width: 95%;" type="text"/>
	Surname	Middle Name	First Name

Date of Registration of Transfer	<input style="width: 95%;" type="text"/>
----------------------------------	--

Type of Transfer	<input style="width: 95%;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred	<input style="width: 95%;" type="text"/>	Amount per Share/ debenture/ unit (in Rs.)	<input style="width: 95%;" type="text"/>
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	Middle Name	First Name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	Middle Name	First Name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	5,890	1000000	5,890,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			145,748,262,073
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			1,828,366,242
Deposit			0
Total	5,890		153,466,628,315

Details of debentures

Class of Debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	8,000,000,000	0	2,110,000,000	5,890,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

221,307,167,307

(ii) Net worth of the Company

54,158,243,754

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	110,048,897	7.99	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	396,259,511	28.77	0	

10.	Others Trust	19,459,382	1.41	0	
	Total	525,767,790	38.17	0	0

Total number of shareholders (promoters)

12

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	431,600,208	31.34	0	
	(ii) Non-resident Indian (NRI)	25,354,421	1.84	0	
	(iii) Foreign national (other than NRI)	916,869	0.07	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	33,905,161	2.46	0	
5.	Financial institutions	79,613,753	5.78	0	
6.	Foreign institutional investors	84,825,563	6.16	0	
7.	Mutual funds	12,832	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	176,042,171	12.78	0	
10.	Others Claring Members/ Market	19,279,127	1.4	0	
	Total	851,550,105	61.83	0	0

Total number of shareholders (other than promoters)

222,387

Total number of shareholders (Promoters+Public/Other than promoters)

222,399

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
BNP PARIBAS ARBITRA	CITIBANK N.A. CUSTODY SERVICES			18,650	0.01
BRUNEI INVESTMENT /	DEUTSCHE BANK AG, DB HOUSE H			188,563	0.01
CITY OF NEW YORK GF	DEUTSCHE BANK AG DB HOUSE, H			1,219,130	0.09
DB INTERNATIONAL (A/	DEUTSCHE BANK AG DB HOUSE, H			71	0.01
DFA AUSTRALIA LIMIT	CITIBANK N.A. CUSTODY SERVICES			251,581	0.02
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			12,334,560	0.9
DIMENSIONAL FUND /	DEUTSCHE BANK AG DB HOUSE, H			771,277	0.06
DIMENSIONAL FUND /	HSBC SECURITIES SERVICES 11TH F			154,620	0.01
DIMENSIONAL FUND /	HSBC SECURITIES SERVICES 11TH F			123,463	0.01
EG SHARES INDIA SMA	DEUTSCHE BANK AG, DB HOUSE H			1,005,000	0.07
ELARA INDIA OPPORT	C/O. ICICI BANK LTD., SMS 1ST FLC			923,621	0.07
ELM PARK FUND LIMIT	ICICI BANK LIMITED SMS DEPT., 1 S			1,200,782	0.09
EMERGING INDIA FOC	Standard Chartered Bank, CRESCEN			3,393,000	0.25
EMERGING MARKETS (C	CITIBANK N.A. CUSTODY SERVICES			5,583,532	0.41
EMERGING MARKETS S	CITIBANK N.A. CUSTODY SERVICES			324,602	0.02
EMERGING MARKETS T	DEUTSCHE BANK AG, DB HOUSE H			215,764	0.02
EMERGING MARKETS V	DEUTSCHE BANK AG, DB HOUSE H			1,252,542	0.09
FLORIDA RETIREMENT	DEUTSCHE BANK AG, DB HOUSE H			246,625	0.02
GOLDMAN SACHS (SIN	Standard Chartered Bank, CRESCEN			3,538,975	0.26
IBM DIVERSIFIED GLO	CITIBANK N.A. CUSTODY SERVICES			50,205	0.01
INDIA MAX INVESTME	DEUTSCHE BANK AG DB HOUSE, H			3,638,000	0.26
INDIA OPPORTUNITIES	Standard Chartered Bank, CRESCEN			28,491,426	2.07
JOHN HANCOCK FUNI	HSBC SECURITIES SERVICES 11TH F			487,315	0.04
LEMAN DIVERSIFIED F	DEUTSCHE BANK AG, DB HOUSE H			500,045	0.04

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
MERRILL LYNCH CAPITAL	CITIBANK N.A. CUSTODY SERVICES			137,250	0.01

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS

Details	At the beginning of the year	At the end of the year
Promoters	14	12
Members (other than promoters)	213,995	222,387
Debenture holders	9	9

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	0	3	0	7.64	0
B. Non-Promoter	2	3	2	3	0	0
(i) Non-Independent	2	0	2	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	4	0	3	0	0
(i) Banks and FIs	0	4	0	3	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	7	5	6	7.64	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

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(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity	Date of cessation (after closure of
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			shares held	financial year : If any)
Ashok B. Jiwrajka	00168350	Whole-time director	34,966,473	
Dilip B. Jiwrajka	00173476	Managing Director	34,405,471	
Surendra B. Jiwrajka	00173525	Whole-time director	35,839,871	
Ashok G. Rajani	00267748	Director	0	13/08/2015
Timothy Ingram	01430613	Nominee director	0	05/09/2015
Thankom T. Mathew	00025326	Nominee director	0	
Sunil O. Khandelwal	06430362	Whole-time director	2,000	
K.H. Gopal	06430369	Whole-time director	0	
Lalita Sharma	01873769	Nominee director	0	
Sudhir Garg	06777363	Nominee director	0	
Surinder Kumar Bhoan	00435603	Additional director	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

5

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Samuel Joseph	02262530	Nominee director	01/12/2014	Cessation
M.V. Muthu	00019683	Nominee director	13/02/2014	Cessation
Sudhir Garg	06777363	Nominee director	13/02/2014	Appointment
K.R. Modi	00261506	Director	13/02/2014	Cessation
Surinder Kumar Bhoan	00435603	Additional director	30/03/2015	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/CLB/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members who attended the meeting	% of total shareholding of attended members
Annual General Meeting	27/12/2013	223,124	62	42.49

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors as on the date of meeting	Attendance	
			Number of directors attended	as %age of total directors
1	23/11/2013	12	10	83.33
2	13/02/2014	11	11	100
3	15/05/2014	11	9	81.82
4	14/08/2014	11	8	72.73
5	13/11/2014	11	10	90.91
6	11/02/2015	11	8	72.73

C. COMMITTEE MEETINGS

Number of meetings held

6

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	as %age of total members
1	Audit Committee	23/11/2014	5	4	80
2	Audit Committee	13/02/2014	3	3	100
3	Audit Committee	15/05/2014	4	3	75
4	Audit Committee	14/08/2014	4	3	75
5	Audit Committee	13/11/2014	4	4	100
6	Audit Committee	11/02/2015	4	2	50

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the Director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/06/2015
								(Y/N/NA)
1	Ashok B. Jiwr	6	5	83.33	0	0	0	No
2	Dilip B. Jiwraj	6	6	100	6	6	100	No
3	Surendra B. Ji	6	6	100	0	0	0	No
4	Ashok G. Raja	6	4	66.67	3	3	100	No
5	Timothy Ingr	6	4	66.67	0	0	0	No
6	Thankom T. M	6	6	100	1	1	100	No
7	Sunil O. Khan	6	6	100	0	0	0	No
8	K.H. Gopal	6	6	100	0	0	0	Yes
9	Lalita Sharma	6	4	66.67	3	2	66.67	No
10	Sudhir Garg	5	5	100	4	4	100	No

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ashok B. Jiwrajka	Executive Direct	27,000,000	18,750,000	0	0	45,750,000
2	Dilip B. Jiwrajka	Managing Direct	27,000,000	18,750,000	0	0	45,750,000
3	Surendra B. Jiwraj	Joint Managing	27,000,000	18,750,000	0	0	45,750,000
	Total		81,000,000	56,250,000	0	0	137,250,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sunil O. Khandelwa	Executive Direct	18,384,269	0	0	89,856	18,474,125
2	K.H. Gopal	Executive Direct	17,842,398	0	0	78,282	17,920,680
	Total		36,226,667	0	0	168,138	36,394,805

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Ashok G. Rajani	Director	0	0	0	80,000	80,000
2	Thankom T. Mather	Nominee Director	0	0	0	120,000	120,000
3	Timothy Ingram	Director	0	0	0	80,000	80,000
4	M.V. Muthu	Nominee Director	0	0	0	20,000	20,000
5	Samuel Joseph	Nominee Director	0	0	0	80,000	80,000
6	Lalita Sharma	Nominee Director	0	0	0	80,000	80,000
7	Sudhir Garg	Nominee Director	0	0	0	100,000	100,000
	Total		0	0	0	560,000	560,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

A. *Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013

during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Virendra G. Bhatt

Whether associate or fellow

Associate Fellow

Certificate of practice number

124

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this return, the Company has complied with applicable provisions of the Act during the financial year.

Declaration

I am authorised by the Board of Directors of the company vide resolution no. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director



DIN of the director

00173476

To be digitally signed by



Company Secretary

Company Secretary in Practice

Membership number

1157

Certificate of practice number

124

Attachments

- 1. list of share holders, debenture holders;
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any.

Attach
Attach
Attach
Attach

List of attachments

ROC Approval- Extension of AGM- 2015.p Alok-Details of FII-31.03.2015.pdf Alok-MGT-8.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

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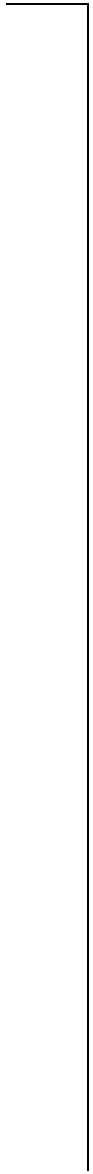
Alok Industries Limited

Details in respect of shares held by or on behalf of the Fils as on 31.03.2015.

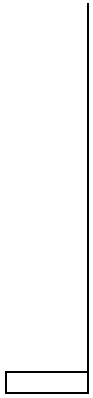
Sr. No	Name Of Fil	Fil	Folio No/DP Id	Pan No.	Address	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
1	BNP PARIBAS ARBITRAGE	FII	IN30005410010739	AAGFB5324G	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI		IN-FR-FD-0887-04/NA	18650	0.0014
2	BRUNEI INVESTMENT AGENCY AS MANAGED BY DIMENSIONAL FUND ADVISORS LP	FII	IN30016710116875	AADCB4159R	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		IN-BN-FD-1821-08/NA	188563	0.0137
3	CITY OF NEW YORK GROUP TRUST	FII	IN30016710030167	AAATC8419K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		IN-US-FD-1136-05/NA	1219130	0.0885
4	DB INTERNATIONAL (ASIA) LTD	FII	IN30016710011157	AABCB1383K	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		IN-SG-FA-496-98/EC.CO.FII./5021/11.01.02(388)/97/98	71	0
5	DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST	FII	IN30005410067527	AABTD2064N	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI		IN-US-FD-1023-05/NA	251581	0.0183
6	DIMENSIONAL EMERGING MARKETS VALUE FUND	FII	IN30005410040054	AACCD1578M	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI		IN-US-FD-1023-05/N.A.	12334560	0.8955
7	DIMENSIONAL FUND ADVISORS EMERGING MARKET STRATEGY	FII	IN30016710068378	AAATT9387R	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI		IN-US-FD-0818-03/NA	771277	0.056
8	DIMENSIONAL FUND ADVISORS LP A/C DFA INTERNATIONAL CORE EQUITY FUND	FII	IN30014210692156	AABTD3438N	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI		IN-US-FD-1023-05/A.P.(DIR SERIES) CIRCULAR NO.53	154620	0.0112
9	DIMENSIONAL FUND ADVISORS LP A/C DFA INTERNATIONAL VECTOR EQUITY FUND	FII	IN30014210692148	AABTD3519Q	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI		IN-US-FD-1023-05/A.P.(DIR SERIES) CIRCULAR NO.53	123463	0.009
10	EG SHARES INDIA SMALL CAP MAURITIUS	FII	IN30016710080499	AACCE3959M	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI		INMUFP045514/NA	1005000	0.073
11	ELARA INDIA OPPORTUNITIES FUND LIMITED	FII	IN30134820011233	AABCE6307N	C/O. ICICI BANK LTD., SMS 1ST FLOOR, EMPIRE COMPLEX 414, SENAPATI BAPAT MARG LOWER PAREL, MUMBAI		IN-UK-FA-1168-05-2006499/NA	923621	0.0671
12	ELM PARK FUND LIMITED	FII	IN30134820020084	AACCE7277L	ICICI BANK LIMITED SMS DEPT., 1 ST FLOOR EMPIRE COMPLEX, 414, S. B. MARG LOWER PAREL (W), MUMBAI.		IN-MU-FD-2317-09/N.A.	1200782	0.0872
13	EMERGING INDIA FOCUS FUNDS	FII	IN30152430022422	AACCE0596N	Standard Chartered Bank, CRESCENZO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India		IN-MU-FD-0829-03/.	3393000	0.2463
14	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC (DFAIDG)	FII	IN30005410013410	AACCD1644G	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI		IN-US-FD-1023-05/N.A.	5583532	0.4054
15	EMERGING MARKETS SOCIAL CORE PORTFOLIO OF DFAINVESTMENT DIMENSIONS GROUP INC.	FII	IN30005410017712	AABCE6264C	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI		IN-US-FD-1023-05/N.A.	324602	0.0236

16	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	FII	IN30016710100511	AABCE7501L	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-US-FD-1023-05/NA	215764	0.0157
17	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC THE FUND	FII	IN30016710100587	AAAAE2450P	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-US-FD-1023-05/NA	1252542	0.0909
18	FLORIDA RETIREMENT SYSTEM - DIMENSIONAL FUND ADVISORS LP	FII	IN30016710091331	AAAJF0103H	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-US-FD-1562-07/NA	246625	0.0179
19	GOLDMAN SACHS (SINGAPORE) PTE	FII	IN30152430034817	AAFCEG0345N	Standard Chartered Bank, CRESCENZO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India	IN-SG-FD-2842-12/.	3538975	0.2569
20	IBM DIVERSIFIED GLOBAL EQUITY FUND	FII	IN30005410017544	AAATI5372H	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI	IN-IR-FD-1326-06/N.A.	50205	0.0036
21	INDIA MAX INVESTMENT FUND LIMITED	FII	IN30016710023756	AABCI5500R	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	IN-CH-FD-1274-06/NA	3638000	0.2641
22	INDIA OPPORTUNITIES GROWTH FUND LTD - PINWOOD STRATEGY	FII	IN30152430029831	AACCI5037L	Standard Chartered Bank, CRESCENZO Securities Services, 3rd Floor C-38/39 G-Block, BKC Bandra (East) Mumbai India	IN-MU-FD-2317-09/.	28491426	2.0686
23	JOHN HANCOCK FUNDS II A/C JOHN HANCOCK FUNDS II EMERGING MARKETS VALUE FUND	FII	IN30014210612303	AAATJ9049G	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	IN-US-FA-1350-06/A.P (DIR SERIES) CIRCULAR NO 53	487315	0.0354
24	LEMAN DIVERSIFIED FUND	FII	IN30016710104404	AABCL8363M	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-MU-FD-2532-11/NA	500045	0.0363
25	MERRILL LYNCH CAPITAL MARKETS ESPANA S.A. S.V.	FII	IN30005410015153	AACCM7105R	CITIBANK N.A. CUSTODY SERVICES FIFC-11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI	IN-SP-FA-0643-00/NA	137250	0.01
26	MGI EMERGING MARKETS EQUITY FUND-DIMENSIONAL FUND ADVISORS LTD.	FII	IN30016710109545	AAHCM6849F	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-IR-FD-2620-11/NA	391081	0.0284
27	MORGAN STANLEY ASIA (SINGAPORE) PTE.	FII	IN30005410077067	AAECM3575F	CITIBANK N A, CUSTODY SERVICES FIFC-11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	IN-SG-FD-2471-10/NA	317804	0.0231
28	MV SCIF MAURITIUS	FII	IN30016710081087	AAGCM4803N	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-US-FA-0032-93/NA	9207282	0.6685
29	QIC LIMITED	FII	IN30016710020999	AAACQ1283E	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	IN-AU-FD-1038-05/NA	332956	0.0242
30	SPDR S AND P EMERGING MARKETS ETF	FII	IN30016710031520	AAGTS4584D	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	IN-US-FA-0766-02/NA	221661	0.0161
31	STATE PUBLIC SECTOR SUPERANNUATION SCHEME	FII	IN30016710088232	AAFAS6251D	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	IN-AU-FD-2499-10/NA	320486	0.0233
32	STICHTING SPOORWEG PENSIIONFONDS	FII	IN30014210565215	AAJCS3620C	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	IN-NL-FD-1129-05/A.P.(DIR SERIES) CIRCULAR NO 53	424831	0.0308

33	SWISS FINANCE CORPORATION (MAURITIUS) LIMITED	FII	IN30152430034884	AAFCS2799Q	Standard Chartered Bank, CRESCENZO Securities Services, 3rd Floor C-38/39 G- Block, BKC Bandra (East) Mumbai India	IN-MU-FD-1670-07/.	187739	0.0136
34	T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FII	IN30005410025384	AACCT9049F	CITIBANK N.A. CUSTODY SERVICES FIFC- 11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI	IN-US-FD-1023-05/NA	90000	0.0065
35	THE EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	FII	IN30005410040616	AACCD1506B	CITIBANK N.A. CUSTODY SERVICES FIFC- 11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI	IN-US-FD-1023-05/N.A.	312393	0.0227
36	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	FII	IN30005410013268	AAATD7768G	CITIBANK N.A. CUSTODY SERVICES FIFC- 11th FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA-EAST, MUMBAI	IN-US-FD-1023-05/NA	6468731	0.4697
37	THE INDIAMAN FUND (MAURITIUS) LIMITED.	FII	IN30016710000211	AAACT4855D	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	IN-UK-FA-0529- 98/EC.CO.FII/763/11.01.02(430)/2001-2002	500000	0.0363
					TOTAL		84825563	6.1588







Virendra Bhatt

Company Secretary

Office :

602, Tardeo Airconditioned Market,
Tardeo Main Road, Tardeo,
Mumbai-400 034.

Tel. : 2351 4867 / 2351 2412

Mobile : 98200 48670

e-mail : bhattivirendra1945@yahoo.co.in

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and
Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Alok Industries Limited** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year 1st October, 2013 to 31st March, 2015. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I am in opinion that:

A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.

B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:

1. its status under the Act - **The Company is a Listed Public Company;**

2. maintenance of registers/records & making entries therein within the time prescribed as informed by the Company;

The Company has maintained the following Statutory Registers and the entries were made within the prescribed time:

- a) Register of Members & Share Transfer
- b) Register of Debenture Holders
- c) Register of Charges
- d) Register of Loans, Guarantee, Security and Acquisition made by the Company
- e) Register of Contracts with Related Party and Bodies etc. in which Directors are interested
- f) Register of Directors and Key Managerial Personnel and their Shareholding
- g) Register of Investment not held in the name of the Company



3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time –

-The Company has filed various forms with Registrar of Companies. Details of forms filed with additional fees are provided in annexure 1.

4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed-

-The maximum gap of the meetings of the Board of Directors & Committee Meetings does not exceeds 120 days.

-As informed the Company has complied with giving proper notice for the meeting as per the provisions of the act.

-As informed the Company has recorded minutes properly.

5. closure of Register of Members / Security holders, as the case may be-

-The Company closed the Register of Members during the financial year from 20th December, 2013 to 27th December, 2013 (both days inclusive).

6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act-

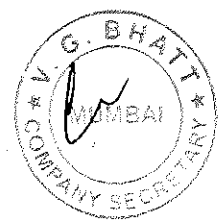
-As per the Audited accounts the auditors have not commented on Section 185 of the Act and we rely on the Auditors' Report.

7. contracts/arrangements with related parties as specified in section 188 of the Act-

-The Company has entered into Contracts/arrangements with related parties like sales, purchases etc. as per the Audited Annual Accounts of the Company. The Company has taken approval from Regional Director on 24/09/2013 under section 297 of the Companies Act, 1956 for Firms in which Relatives of Key Management Personnel are interested for the period of three years w.e.f 21/08/2013, hence shareholders approval is not taken as per the provisions of Companies Act, 2013.

8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances-

-The Company has issued allotted 2,02,300 shares of Rs.10/- each on 13/11/2014 under ESOP and the Company has complied with filing the relevant forms as per the provisions of the act.



9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act-

-There was no transaction necessitating the Company to keep in abeyance the right to dividend, right shares, and bonus shares pending registration of Transfer of Shares.

10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act-

-During the year under review the company has declared dividend of Rs. 0.30 per Equity share for F.V. of Rs. 10/- each.

-The Company has transferred to unclaimed dividend as applicable to IEPF in accordance with section 125 of Companies Act, 2013.

11. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof-

-The Company has complied with signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof.

12. constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them-

-There is a change in Composition of Directors during the year under review.

-The Company has duly complied with giving disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them in the Annual Return.

13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act-

-There is no such instance of the casual vacancies of the auditor and the Re-appointment of auditor is made as per the Section 139 of the Act the Company has re-appointed Deloitte Haskins & Sells LLP as the Statutory Auditors.

14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act-

-The Company has taken approval from Registrar of Companies for Extension of Annual General Meeting for 3 months and Extension of Accounting period for 6 months and the approval was received on 30/01/2015 during the year under review.

15. acceptance/ renewal/ repayment of deposits-

-The Company has not accepted any fixed deposits within the meaning of Section 73 of the Companies Act, 2013 during the year under review. All deposits accepted



during the previous period have been repaid together with interest accrued upto the date of maturity as per the Annual Report.

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable-

-As per the Audited Accounts the Company has made borrowings from Banks & Financial Institutions during the period under review and we rely on the auditors' report.

-The Company has created, modified charges during the year under review and filed forms within the prescribed time limit.

17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act -

-As per the Audited Accounts the Company has given loans and Guarantee as provided under section 186 of the Companies Act, 2013 and we rely on the auditors' report.

18. alteration of the provisions of the Memorandum and/ or Articles of Association of the Company

-During the year under review the Company has not altered the provisions of the Memorandum and/or Articles of Association of the Company.

Place: Mumbai

Date: 05th January, 2015.



Virendra Bhatt
C.P. No. :124

ANNEXURE

• **ANNEXURE 1**

Forms filed with ROC with Additional Fees:

SR NO.	PARTICULARS	FORM NO	DATE OF FILING	REMARKS
1.	Annual Return for Financial Year 2013-2014.	20B	26/02/2014	Delay in Form Filing. Form filed with additional fees Rs. 1,000/-
2.	Annual Accounts for Financial Year 2013-2014.	23ACXBRL 23ACAXBRL	11/03/2014	Delay in Form Filing. Form filed with additional fees Rs. 2,000/-
3.	Appointment of Mr.Surinder Kumar Bhoan as Additional Non-Executive Independent Director.	DIR-12	14/05/2015	Circular Resolution passed on 30/03/2015. Delay in Form Filing. Form filed with additional fees Rs. 1,200/-
4.	Nomination withdrawn of Nominee Director Mr. Samuel Joseph w.e.f 23/11/2013	DIR-12	23/01/2015	Delay in Form Filing. Form filed with additional fees Rs. 1,200/-
5.	Allotment of 2,02,300 Equity Shares of Rs. 10/- each as ESOP Allotment.	PAS-3	17/01/2015	Delay in Form Filing. Form filed with additional fees Rs. 2,400/-

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GOVERNMENT OF INDIA

MINISTRY OF CORPORATE AFFAIRS

Office of the Registrar of Companies

RoC Bhavan, Opp Rupal Park Society, Behind Ankur Bus Stop, Naranpura, Ahmedabad - 380013, Gujarat, INDIA

Dated : 30/01/2015

IN THE MATTER OF M/S ALOK INDUSTRIES LIMITED (CIN : L17110DN1986PLC000334)

AND

IN THE MATTER OF EXTENSION UNDER SECTION 96(1) OF THE COMPANIES ACT, 2013

The Company has closed its accounting year on 30/09/2014 and the Annual General Meeting of the company is due to be held on 26/03/2015 as per requirements of section 96/129 of the Companies Act, 2013. The company has made an application vide SRN C39657754 on 12/01/2015 requesting for an extension of time for the purpose of holding AGM on the grounds that In order to align the financial period as per the New Companies Act, 2013, . The application is approved for the following reasons -

In view of the requirement of Companies Act, 2013 and based on the application of the company to extend its Accounting Period beyond 31.12.2014 up to 31.03.2015 and application for extension of A.G.M beyond 26.03.2015. The same is allowed as per law to hold its Annual General Meeting on or before 26.06.2015 in view of the extension of its Accounting Period up to 31.03.2015 . The company is also warned to be more careful in future and not to make such application repeatedly unless there is compelling circumstances to do so. However the onus of compliance of the relevant provisions of the Companies Act, 1956/2013 if any lyes with the company in this regard.

Keeping in view, the aforesaid circumstances due to which company cannot hold its Annual General Meeting on time, extension 3 months is considered.

ORDER

Under the power vested in the undersigned by virtue of section 96(1) read with second proviso attached thereto extension of 3 months is hereby granted. However, the company is hereby advised to be careful in future in compliance of the provisions of the Companies Act, 2013.

Yours faithfully,

MANOJA KUMAR SAHU
Registrar of Companies
Gujarat, Dadra and Nagar Havelli

Mailing Address as per record available in Registrar of Companies office:

ALOK INDUSTRIES LIMITED
17/5/1, 521/1,,
Village Rakholi/ Saily,,
Silvassa - 396230,
Dadar Nagar Haveli,
INDIA

