

ALOK INDUSTRIES LIMITED
SHAREHOLDING PATTERN AS ON 30th JUNE, 2023

General information about company

| | |
|--|-------------------------|
| Scrip code | 521070 |
| NSE Symbol | ALOKINDS |
| MSEI Symbol | NOTLISTED |
| ISIN | INE270A01029 |
| Name of the company | ALOK INDUSTRIES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-06-2023 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Alok Industries Limited - Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

ALOK INDUSTRIES LIMITED

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | | |
|--------------|--------------------------------|----------------------------|--|--|---|---|---|---|-------------|----------------------|---|--|--|---|----------------------------------|---------|---|-------------------|---|---------------------------------|------------------------------------|-------------------|--------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) | Shareholding (No. of shares) under | | |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | | | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| (A) | Promoter & Promoter Group | 2 | 3723845177 | | | 3723845177 | 75.00 | 3723845177.00 | | 3723845177.00 | 75.00 | | | | | | | 3723845177 | | | | | |
| (B) | Public | 575513 | 1241395224 | | | 1241395224 | 25.00 | 1241395224.00 | | | | | | | | | | 1239228984 | 0 | 0 | 0 | | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | | | | | | |
| | Total | 575515 | 4965240401 | | | 4965240401 | 100 | 4965240401.00 | | 4965240401.00 | 100.00 | | | | | | | 4963074161 | 0 | 0 | 0 | | |

ALOK INDUSTRIES LIMITED

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|---|--|----------------------------|--|--|---|--|---|--|-------------------|-------------------------------------|---|--|--|--|----------------------------------|-----|---|-----|---|------------------------------------|------------------|-------------------|
| | | | | | | | | No of Voting (XIV) Rights | | Total as a % of Total Voting rights | | | | | No. | | As a % of total Shares held (b) | | | Shareholding (No. of shares) under | | |
| | | | | | | | | Class eg: X | Class eg: Y | | | | | | Total | (a) | (b) | (a) | | (b) | Sub-category (i) | Sub-category (ii) |
| A Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | | | | | | | | | | | | |
| (1) Indian | | | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | | | | | | | | | | | | | | | | | | | | | |
| (b) | Central Government/ State Government(s) | | | | | | | | | | | | | | | | | | | | | |
| (c) | Financial Institutions/ Banks | | | | | | | | | | | | | | | | | | | | | |
| (d) | Any Other (specify) | 2 | 3723845177 | | | 3723845177 | 75.00 | 3723845177.00 | 3723845177 | 75.00 | | | 75.00 | | | | | | | 3723845177 | | |
| Sub-Total (A)(1) | | 2 | 3723845177 | | | 3723845177 | 75.00 | 3723845177.00 | 3723845177 | 75.00 | | | 75.00 | | | | | | | 3723845177 | | |
| (2) Foreign | | | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (NonResident Individuals/ Foreign Individuals) | | | | | | | | | | | | | | | | | | | | | |
| (b) | Government | | | | | | | | | | | | | | | | | | | | | |
| (c) | Institutions | | | | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | | | | | | | | | |
| (e) | Any Other (specify) | | | | | | | | | | | | | | | | | | | | | |
| Sub-Total (A)(2) | | | | | | | | | | | | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 2 | 3723845177 | | | 3723845177 | 75.00 | 3723845177.00 | 3723845177 | 75.00 | | | 75.00 | | | | | | | 3723845177 | | |
| Details of Shares which remain unclaimed for Promoter & Promoter Group - NIL | | | | | | | | | | | | | | | | | | | | | | |

Alok Industries Limited

| Searial No. | Category | Name of the Shareholders (I) | No. of the Shareholders (I) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of equity shares held in dematerialized form (XIV) | Reason for not providing PAN | Shareholder type |
|-----------------------|----------------------------|---|-----------------------------|--|---|---|---|---------------|-------------------------------------|--|---|------------------------------|------------------|
| | | | | | | | No of Voting (XIV) Rights | | Total as a % of Total Voting rights | | | | |
| | | | | | | | Class eg: X | Total | | | | | |
| A1(d) | Any Other (specify) | | | | | | | | | | | | |
| 1 | Bodies Corporate | Reliance Industries Limited | 1 | 1986533333 | 1986533333 | 40.01 | 1986533333.00 | 1986533333.00 | 40.01 | 40.01 | 1986533333 | | Promoter |
| 2 | Person Acting in Concert | JM Financial Asset Reconstruction Company Limited | 1 | 1737311844 | 1737311844 | 34.99 | 1737311844.00 | 1737311844.00 | 34.99 | 34.99 | 1737311844 | | Promoter Group |
| Click here to go back | | | 2 | 3723845177 | 3723845177 | 75.00 | 3723845177.00 | 3723845177.00 | 75.00 | 75.00 | 3723845177 | | |

ALOK INDUSTRIES LIMITED

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|---|---|----------------------------|--|--|---|---|---|--|-------------------|-------------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------------|-------------------|--------------------|
| | | | | | | | | No of Voting (XIV) Rights | | Total as a % of Total Voting rights | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Shareholding (No. of shares) under | | |
| | | | | | | | | Class eg: X | Class eg:y | | | | | | | | | | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | | | | | | | | | | | | | |
| (1) Institutions (Domestic) | | | | | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | 2 | 11384 | | | 11384 | 0.00 | 11384 | 11384 | 0.00 | | | 0.00 | | | | | 11384 | 0 | 0 | 0 | |
| (b) | Venture Capital Funds | | | | | | | | | | | | | | | | | | | | | |
| (c) | Alternate Investment Funds | | | | | | | | | | | | | | | | | | | | | |
| (d) | Banks | 3 | 83001 | | | 83001 | 0.00 | 83001 | 83001 | 0.00 | | | 0.00 | | | | | 83001 | 0 | 0 | 0 | |
| (e) | Insurance Companies | 2 | 15871870 | | | 15871870 | 0.32 | 15871870 | 15871870 | 0.32 | | | 0.32 | | | | | 15871870 | 0 | 0 | 0 | |
| (f) | Provident Funds/ Pension Funds | | | | | | | | | | | | | | | | | | | | | |
| (g) | Asset reconstruction companies | | | | | | | | | | | | | | | | | | | | | |
| (h) | Sovereign Wealth Funds | | | | | | | | | | | | | | | | | | | | | |
| (i) | NBFCs registered with RBI | 2 | 75153 | | | 75153 | 0.00 | 75153 | 75153 | 0.00 | | | 0.00 | | | | | 75153 | 0 | 0 | 0 | |
| (j) | Other Financial Institutions | | | | | | | | | | | | | | | | | | | | | |
| (k) | Any Other (specify) | | | | | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(1) | 9 | 16041408 | | | 16041408 | 0.32 | 16041408 | 16041408 | 0.32 | | | 0.32 | | | | | 16041408 | 0 | 0 | 0 | |
| (2) Institutions (Foreign) | | | | | | | | | | | | | | | | | | | | | | |
| (a) | Foreign Direct Investment | | | | | | | | | | | | | | | | | | | | | |
| (b) | Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | | | | | |
| (c) | Sovereign Wealth Funds | | | | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Portfolio Investors Category I | 66 | 101400522 | | | 101400522 | 2.04 | 101400522 | 101400522 | 2.04 | | | 2.04 | | | | | 101400522 | 0 | 0 | 0 | |
| (e) | Foreign Portfolio Investors Category II | 2 | 3870226 | | | 3870226 | 0.08 | 3870226 | 3870226 | 0.08 | | | 0.08 | | | | | 3870226 | 0 | 0 | 0 | |
| (f) | Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | | | | | |
| (g) | Any Other (specify) | 1 | 2000000 | | | 2000000 | 0.04 | 2000000 | 2000000 | 0.04 | | | 0.04 | | | | | 0 | 0 | 0 | 0 | |
| | Sub-Total (B)(2) | 69 | 107270748 | | | 107270748 | 2.16 | 107270748 | 107270748 | 2.16 | | | 2.16 | | | | | 105270748 | 0 | 0 | 0 | |
| (3) Central Government / State Government(s) | | | | | | | | | | | | | | | | | | | | | | |
| (a) | Central Government / President of India | 1 | 10000 | | | 10000 | 0.00 | 10000 | 10000 | 0 | | | 0.00 | | | | | 10000 | 0 | 0 | 0 | |
| (b) | State Government / Governor | | | | | | | | | | | | | | | | | | | | | |
| (c) | Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter | | | | | | | | | | | | | | | | | | | | | |
| | Sub-Total (B)(3) | 1 | 10000 | | | 10000 | 0.00 | 10000 | 10000 | 0.00 | | | 0.00 | | | | | 10000 | 0 | 0 | 0 | |
| (4) Non-institutions | | | | | | | | | | | | | | | | | | | | | | |
| (a) | Associate companies / Subsidiaries | | | | | | | | | | | | | | | | | | | | | |
| (b) | Directors and their relatives (excluding independent directors and nominee directors) | | | | | | | | | | | | | | | | | | | | | |
| (c) | Key Managerial Personnel | 1 | 40 | | | 40 | 0.00 | 40 | 40 | 0.00 | | | 0.00 | | | | | 40 | 0 | 0 | 0 | |
| (d) | Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category) | | | | | | | | | | | | | | | | | | | | | |
| (e) | Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust' | | | | | | | | | | | | | | | | | | | | | |
| (f) | Investor Education and Protection Fund (IEPF) | 1 | 3393094 | | | 3393094 | 0.07 | 3393094 | 3393094 | 0.07 | | | 0.07 | | | | | 3393094 | 0 | 0 | 0 | |
| (g) | Resident Individuals holding nominal share capital up to Rs. 2 lakhs | 563835 | 820971168 | | | 820971168 | 16.53 | 820971168 | 820971168 | 16.53 | | | 16.53 | | | | | 820811928 | 0 | 0 | 0 | |
| (h) | Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs | 368 | 189302227 | | | 189302227 | 3.81 | 189302227 | 189302227 | 3.81 | | | 3.81 | | | | | 189302227 | 0 | 0 | 0 | |
| (i) | Non Resident Indians (NRIs) | 3043 | 23172990 | | | 23172990 | 0.47 | 23172990 | 23172990 | 0.47 | | | 0.47 | | | | | 23166590 | 0 | 0 | 0 | |
| (j) | Foreign Nationals | | | | | | | | | | | | | | | | | | | | | |
| (k) | Foreign Companies | | | | | | | | | | | | | | | | | | | | | |
| (l) | Bodies Corporate | 1072 | 38962709 | | | 38962709 | 0.78 | 38962709 | 38962709 | 0.78 | | | 0.78 | | | | | 389621109 | 0 | 0 | 0 | |
| (m) | Any Other (specify) | 7114 | 42270840 | | | 42270840 | 0.85 | 42270840 | 42270840 | 0.85 | | | 0.85 | | | | | 42270840 | 0 | 0 | 0 | |
| | Sub-Total (B)(4) | 575434 | 1118073068 | | | 1118073068 | 22.52 | 1118073068 | 1118073068 | 22.52 | | | 22.52 | | | | | 1117906828 | 0 | 0 | 0 | |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | 575513 | 1241395224 | | | 1241395224 | 25.00 | 1241395224 | 1241395224 | 25.00 | | | 25.00 | | | | | 1239228984 | 0 | 0 | 0 | |

Details of Shares which remain unclaimed for Public

| Serial No. | Number of shareholders | Outstanding shares held in demat or unclaimed suspense account | voting rights which are frozen | Disclosure of notes on shares which remain unclaimed for public shareholders |
|------------|------------------------|--|--------------------------------|--|
| 1 | 79 | 30540 | 30540 | |

ALOK INDUSTRIES LIMITED

| Searial No. | Category | Category / More than 1 percentage | Name of the Shareholders (I) | No. of the Shareholders (I) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of equity shares held in dematerialized form (XIV) | Reason for not providing PAN | Sub-categorization of shares | | |
|---------------------------------------|----------------------------|-----------------------------------|---|-----------------------------|--|---|--|---|----------------|-------------------------------------|---|---|------------------------------|------------------------------------|-------------------|--------------------|
| | | | | | | | | No of Voting (XIV) Rights | | Total as a % of Total Voting rights | | | | Shareholding (No. of shares) under | | |
| | | | | | | | | Class eg: X | Total | | | | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B2(g) | Any Other (specify) | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| 1 | Other | Category | Megallan Consulting Limited- Foreign Institutional Investor | 1 | 2000000 | 2000000 | 0.04 | 2000000 | 2000000.00 | 0.04 | 0.04 | 0 | | 0 | 0 | 0 |
| Click here to go back | | | | Total | 1 | 2000000 | 2000000 | 0.04 | 2000000 | 2000000.00 | 0.04 | 0.04 | 0 | 0 | 0 | 0 |

Alok Industries Limited

| Serial No. | Category | Category / More than 1 percentage | Name of the Shareholders (I) | No. of the Shareholders (I) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|---------------------------------------|---|-----------------------------------|------------------------------|-----------------------------|--|---|---|---|--------------------|-------------------------------------|--|---|------------------------------------|-------------------|--------------------|
| | | | | | | | | No of Voting (XIV) Rights | | Total as a % of Total Voting rights | | | Shareholding (No. of shares) under | | |
| | | | | | | | | Class eg: X | Total | | | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B4(m) | Any Other (specify) | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| 1 | Trusts | Category | | 6 | 145325 | 145325 | 0.00 | 145325 | 145325 | 0.00 | 0.00 | 145325 | 0 | 0 | 0 |
| 2 | LLP | Category | | 73 | 2749396 | 2749396 | 0.05 | 2749396 | 2749396 | 0.05 | 0.05 | 2749396 | 0 | 0 | 0 |
| 3 | HUF | Category | | 7015 | 39302970 | 39302970 | 0.79 | 39302970 | 39302970 | 0.79 | 0.79 | 39302970 | 0 | 0 | 0 |
| 4 | Clearing Members | Category | | 19 | 42609 | 42609 | 0.01 | 42609 | 42609 | 0.01 | 0.01 | 42609 | 0 | 0 | 0 |
| 5 | Unclaimed or Suspense or Escrow Account | Category | | 1 | 30540 | 30540 | 0.00 | 30540 | 30540 | 0.00 | 0.00 | 30540 | 0 | 0 | 0 |
| Click here to go back | | | Total | 7114 | 42270840 | 42270840 | 0.85 | 42270840.00 | 42270840.00 | 0.85 | 0.85 | 42270840 | 0 | 0 | 0 |

ALOK INDUSTRIES LIMITED

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (XI) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | | |
|--|---|----------------------------|--|--|---|--|---|---|-------------|--------|---|--|--|---|-------------------------------------|---------|---|------------|---|---------------------------------|------------------------------------|-------------------|--------------------|
| | | | | | | | | No of Voting (XIV)Rights | | | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) | Shareholding (No. of shares) under | | |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | | | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | | | | | | | | | | | | | |
| (1) | Custodian/DR Holder - Name of DR Holders (If Available) | | | | | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 | | | | | | | | | | | | | | | | | | | | | | |
| Total NonPromoter- Non Public Shareholding | | | | | | | | | | | | | | | | | | | | | | | |
| Total (A+B+C2) | | 575515 | 4965240401 | | | 4965240401 | 100.00 | 4965240401.00 | 4965240401 | 100.00 | | | 100.00 | | | | | 4963074161 | 0 | 0 | 0 | | |
| Total (A+B+C) | | 575515 | 4965240401 | | | 4965240401 | 100.00 | 4965240401.00 | 4965240401 | 100.00 | | | 100.00 | | | | | 4963074161 | 0 | 0 | 0 | | |

Note 1:

In accordance with the Resolution Plan approved by the National Company Law Tribunal, Ahmedabad Bench ("Approved Resolution Plan"), Reliance Industries Limited (RIL) along with JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of 'JMFARC- March 2018 – Trust'- (JMFARC) have acquired joint control of the Company with effect from September 14, 2020.

As a part of the Approved Resolution Plan, the Company had on February 28, 2020, allotted on preferential basis to RIL 83,33,33,333 equity shares of Re. 1/- each at a premium of Rs 2/- per equity share for cash for a total consideration of Rs. 250 crore and 250,00,00,000 - 9% Optionally Convertible Preference Shares (OCPS) of Re. 1 each for cash at par, for a total consideration of Rs. 250 crore

As per the terms and conditions of the OCPS, RIL was entitled to convert all or part of the OCPS into equity shares of the Company at any time on or before the expiry of 18 months from the date of their allotment, i.e. August 28, 2021. Since the option to convert OCPS into equity shares of the Company has not been exercised, the Company will now, as per the terms and conditions of the OCPS, redeem the same on the last day of the 10th anniversary of the date of allotment, such that the Company on redemption pays an amount equal at least to the outstanding OCPS Subscription Amount to RIL.

Under serial no. 2 of the Declaration tab i.e. 'Whether the Listed Entity has issued any Convertible Securities?', 'No' is selected because the Company as on June 30, 2023 does not have any convertible securities.

Further, in accordance with the Approved Resolution Plan, the Company on September 10, 2020, further allotted on preferential basis:

- i. 115,32,00,000 equity shares of the face value of Re. 1/- (Rupee One only) each, fully paid up, to RIL, pursuant to conversion of debt.
- ii. 160,14,00,000 equity shares of the face value of Re. 1/- (Rupee One only) each, fully paid up, to JM Financial Asset Reconstruction Company Limited acting in its capacity as a Trustee of 'JMFARC- March 2018 – Trust'- (JMFARC), pursuant to conversion of debt.

Note 2:

JM Financial Asset Reconstruction Company Limited, acting in its capacity as Trustee of JMFARC – March 2018 – Trust (JMFARC) is the 'persons acting in concert' (PAC) with Reliance Industries Limited but considering that there is no provision for disclosing PAC with Promoter and Promoter Group in the prescribed format, they have been shown as part of the promoter group with an explanatory note.

The details of shareholding of JMFARC are given below:

JM Financial Asset Reconstruction Company Limited (acting in its capacity as Trustee of JMFARC – March 2018 – Trust) holds 1,73,73,11,844 equity shares, constituting 34.99% of the equity capital.

Note 3:

Voting rights are frozen on 33,93,094 equity shares held by Investor Education and Protection Fund (IEPF).

ALOK INDUSTRIES LIMITED**Table VI - Statement showing foreign ownership limits**

| Particular | Approved limits (%) | Limits utilized (%) |
|---------------------------------------|----------------------------|----------------------------|
| As on shareholding date | 100.00 | 2.49 |
| As on the end of previous 1st quarter | 100.00 | 2.41 |
| As on the end of previous 2nd quarter | 100.00 | 2.35 |
| As on the end of previous 3rd quarter | 100.00 | 2.31 |
| As on the end of previous 4th quarter | 100.00 | 2.29 |

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.