

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L17110DN1986PLC000334

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACA0201C

(ii) (a) Name of the company

ALOK INDUSTRIES LIMITED

(b) Registered office address

17/5/1, 521/1,  
Village Rakholi/ Saily,  
Silvassa  
Dadra & Nagar Haveli  
396230  
त-३३३

(c) \*e-mail ID of the company

premkumar.km@alokind.com

(d) \*Telephone number with STD code

02261787000

(e) Website

www.alokind.com

(iii) Date of Incorporation

12/03/1986

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,  
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) \*Financial year From date 01/04/2019 (DD/MM/YYYY) To date 31/03/2020 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM 29/12/2020

(b) Due date of AGM 31/12/2020

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities 1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given 7

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Alok Infrastructure Limited	U45201MH2006PLC164267	Subsidiary	100
2	Alok Singapore Pte Limited		Subsidiary	100

3	Alok International Inc		Subsidiary	100
4	Alok Worldwide Limited		Subsidiary	100
5	Alok International (Middle East)		Subsidiary	100
6	New City of Bombay Manufact		Joint Venture	49
7	Aurangabad Textiles and Appa		Joint Venture	49

#### IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

##### (i) \*SHARE CAPITAL

###### (a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	35,000,000,000	2,210,651,228	2,210,651,228	2,210,651,228
Total amount of equity shares (in Rupees)	35,000,000,000	2,210,651,228	2,210,651,228	2,210,651,228

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	35,000,000,000	2,210,651,228	2,210,651,228	2,210,651,228
Nominal value per share (in rupees)	1	1	1	1
Total amount of equity shares (in rupees)	35,000,000,000	2,210,651,228	2,210,651,228	2,210,651,228

###### (b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	5,000,000,000	2,500,000,000	2,500,000,000	2,500,000,000
Total amount of preference shares (in rupees)	5,000,000,000	2,500,000,000	2,500,000,000	2,500,000,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Optionally Convertible Preference Shares				
Number of preference shares	5,000,000,000	2,500,000,000	2,500,000,000	2,500,000,000
Nominal value per share (in rupees)	1	1	1	1
Total amount of preference shares (in rupees)	5,000,000,000	2,500,000,000	2,500,000,000	2,500,000,000

**(c) Unclassified share capital**

Particulars	Authorised Capital
Total amount of unclassified shares	0

**(d) Break-up of paid-up share capital**

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
<b>Equity shares</b>				
<b>At the beginning of the year</b>	1,377,317,895	13,773,178,950	13,773,178,950	
<b>Increase during the year</b>	833,333,333	833,333,333	833,333,333	1,666,666,666
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	
v. ESOPs	0	0	0	
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Issue of shares pursuant to the terms of the Resolution	833,333,333	833,333,333	833,333,333	1,666,666,666
<b>Decrease during the year</b>	0	12,395,861,055	12,395,861,055	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	
iv. Others, specify				
Reduction of face value of shares from Rs.10 to Re.1, pu	0	12,395,861,055	12,395,861,055	
<b>At the end of the year</b>	2,210,651,228	2,210,651,228	2,210,651,228	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	

<b>Increase during the year</b>	2,500,000,000	2,500,000,000	2,500,000,000	0
i. Issues of shares	0	0	0	
ii. Re-issue of forfeited shares	0	0	0	
iii. Others, specify				
Issue of preference shares pursuant to the terms of the f	2,500,000,000	2,500,000,000	2,500,000,000	
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	2,500,000,000	2,500,000,000	2,500,000,000	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor <input type="text"/>			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input type="text"/>			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

**(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			280,430,684,873.15
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
<b>Total</b>			280,430,684,873.15

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	5,285,000,000	0	5,285,000,000	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)****(i) Turnover**

31,663,447,812.16

**(ii) Net worth of the Company**

-106,886,830,074.88

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity	Preference
--------	----------	--------	------------

		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,073,218	0.82	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	109,158,453	4.94	0	0
10.	Others Alok Benefit Trust	8,691,000	0.39	0	0
	<b>Total</b>	135,922,671	6.15	0	0

**Total number of shareholders (promoters)**

9

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,009,666,441	45.67	0	0
	(ii) Non-resident Indian (NRI)	26,145,177	1.18	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0



2.	Government				
	(i) Central Government	10,000	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	49,301,146	2.23	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	200	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	926,874,964	41.93	2,500,000,000	100
10.	Others Trust/HUF/Clearing Member	62,730,629	2.84	0	0
	<b>Total</b>	<b>2,074,728,557</b>	<b>93.85</b>	<b>2,500,000,000</b>	<b>100</b>

**Total number of shareholders (other than promoters)**

228,984

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

228,993

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	214,525	228,984
Debenture holders	9	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

<b>A. Promoter</b>	3	0	3	0	0.78	0
<b>B. Non-Promoter</b>	2	2	1	2	0	0
(i) Non-Independent	2	0	1	0	0	0
(ii) Independent	0	2	0	2	0	0
<b>C. Nominee Directors representing</b>	0	1	0	1	0	0
(i) Banks & FIs	0	1	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	3	4	3	0.78	0

**Number of Directors and Key managerial personnel (who is not director) as on the financial year end date**

9

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Ashok Bhagirathmal Jiw	00168350	Whole-time directo	14,844,206	14/09/2020
Dilip Bhagirathmal Jiwra	00173476	Managing Director	1,005,973	14/09/2020
Surendra Bhagirathmal	00173525	Whole-time directo	1,356,900	14/09/2020
Tulsi Nanikram Tejwani	07423670	Director	5,000	14/09/2020
Surinder Kumar Bhoan	00435603	Director	0	14/09/2020
Keshav Dattaram Hoda	00406556	Director	0	14/09/2020
Suneet Shukla	02248415	Nominee director	0	14/09/2020
Sunil Omprakash Khan	AGSPK9505G	CFO	2,000	15/09/2020
Kadayam Hariharan Go	ADLPG4276G	Company Secretar	0	18/01/2021

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Senthilkumar Arumugha	07421184	Director	20/01/2020	Resignation

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	24/12/2019	224,711	49	0.02

### B. BOARD MEETINGS

\*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	09/04/2019	5	5	100
2	24/04/2019	7	7	100
3	06/05/2019	7	7	100
4	06/06/2019	7	7	100
5	14/06/2019	7	7	100
6	05/08/2019	7	7	100
7	14/08/2019	7	6	85.71
8	26/09/2019	7	7	100
9	14/11/2019	7	5	71.43
10	22/11/2019	7	7	100
11	13/01/2020	7	7	100
12	20/01/2020	7	7	100

### C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Ashok Bhagira	0	0	0	0	0	0	Not Applicable
2	Dilip Bhagirath	0	0	0	0	0	0	Not Applicable
3	Surendra Bha	0	0	0	0	0	0	Not Applicable
4	Tulsi Nanikran	0	0	0	0	0	0	Not Applicable
5	Surinder Kum	0	0	0	0	0	0	Not Applicable
6	Keshav Dattar	0	0	0	0	0	0	Not Applicable
7	Suneet Shukla	0	0	0	0	0	0	Not Applicable

### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Tulsi Nanikram Tejv	Executive Direct	7,394,945.47	0	0	0	7,394,945.47
2	Senthilkumar Arum	Executive Direct	7,472,277	0	0	0	7,472,277
	Total		14,867,222.47	0	0	0	14,867,222.47

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
--------	------	-------------	--------------	------------	-------------------------------	--------	--------------

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Sunil Omprakash Kl	CFO	17,265,887.5	0	0	0	17,265,887.5
2	Kadayam Hariharan	Company Secre	16,606,283.11	0	0	0	16,606,283.11
	Total		33,872,170.61	0	0	0	33,872,170.61

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

#### XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

#### XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Virendra G. Bhatt

Whether associate or fellow

Associate  Fellow

Certificate of practice number

124

#### I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

#### Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

#### To be digitally signed by

Director

Hemant Desai  
Digitally signed by Hemant Desai  
Date: 2021.02.22 17:57:05 +05'30'

DIN of the director

00008531

#### To be digitally signed by

HITESH PRAVIN KANANI  
Digitally signed by Hitesh Pravin Kanani  
Date: 2021.02.22 17:57:05 +05'30'

- Company Secretary  
 Company secretary in practice

Membership number

6188

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachement(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

MGT-8 31Mar2020.pdf Alok Industries Limited_MGT7_SHT.pdf Letter to MCA.pdf
--

**Remove attachment**

Modify

Check Form

Prescrutiny

Submit

---

**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

# Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,  
Pinnacle Corporate Park,  
BKC CST Link Rd., MMRDA Area,  
Bandra Kurla Complex,  
Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Form No.: MGT-8

*[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of the Companies (Management and Administration) Rules, 2014]*

## CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of the **Alok Industries Limited** (hereinafter referred as "the Company") having CIN: L17110DN1986PLC000334 as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made there under for the financial year ended on 31<sup>st</sup> March, 2020. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company and its officers, I am of the opinion that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has prima facie complied with the provisions of the Act & Rules made there under in respect of:
  1. Its status under the Act - **The Company is a Listed Public Company;**
  2. As per information provided, maintenance of registers/records & making entries therein were made generally within the time prescribed;
  3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time -  
**During the financial year 2019-20, the Company has filed various forms with the Registrar of Companies within prescribed time and certain with additional fees.**





4. Calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed-

**During the financial year 2019-20, no Board Meeting or Committee Meeting were conducted as the powers of the Board of Directors of the Company stand suspended with effect from 18<sup>th</sup> July, 2017 and the said powers were being exercised by the Resolution Professional. The Monitoring Committee met 13 times, details of which are mentioned in Annexure-I.**

**The Annual General Meeting of the members was held on 24<sup>th</sup> December, 2019 (based on extension approval received from the Registrar of Companies for holding Annual General Meeting after 30<sup>th</sup> September, 2019).**

**During the financial year 2019-20, none of the business was transacted by way of postal ballot.**

5. Closure of Register of Members / Security holders, as the case may be-  
**The Register of Members and Share Transfer Books of the Company was closed once during the financial year from 18<sup>th</sup> December, 2019 to 24<sup>th</sup> December 2019 (both days inclusive).**
6. Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act-  
**During the financial year 2019-20, it is observed that the Company has not charged interest for the loans given in earlier years to the Subsidiary Companies.**
7. Contracts/arrangements with related parties as specified in Section 188 of the Act-  
**During the financial year 2019-20, I observed that the Company has entered into transaction with related parties covered under Section 188 of the Companies Act, 2013 pursuant to the directions of the Hon'ble National Company Law Tribunal, Ahmedabad Bench.**
8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances-





The documents relating to transfers and transmission of securities are maintained with the Registrar and Transfer Agent.

The issued, subscribed and paid-up equity share capital of the Company stand reduced from Rs. 13,77,31,78,950/- consisting of 1,37,73,17,895 equity shares of face value of Rs. 10/- each to Rs. 1,37,73,17,895/- consisting of 1,37,73,17,895 equity shares of Re. 1/- each thereby reducing the face value by Rs. 9/- per share. Further, the Monitoring Committee of the Company has on 28<sup>th</sup> February, 2020 issued and allotted 83,33,33,333 equity shares of the face value of Re. 1/- each for cash at a premium of Rs. 2/- per share and 9%, 250,00,00,000 Optionally Convertible Preference Shares (OCPS) of the face value of Re. 1/- for cash to Reliance Industries Limited on a preferential basis.

There were no instances of buy back of securities or redemption of preference shares or debentures.

9. Keeping in abeyance the rights to dividend, rights share and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act-  
**During the financial year 2019-20, as informed by the Company, there was no transaction which requires the Company to keep in abeyance the right to dividend, right shares and bonus shares.**

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act-

**During the financial year 2019-20, the Company has not declared any dividend.**

**During the financial year 2019-20, the Company has transferred Rs. 1,701,129.60/- unclaimed dividend amounts pertaining to the Financial Year 2011-12 to the Investor Education and Protection Fund.**

**The Company is in process of transferring the unclaimed equity shares to the Investor Education and Protection Fund pursuant to the Section 124(6) of the Companies Act, 2013 read with Rule 5 and 6 of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016.**

11. Signing of the audited financial statement as per the provisions of Section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof-

**The powers of the Board stood suspended with effect from 18<sup>th</sup> July, 2017 and the said powers were being exercised by Mr. Ajay Joshi, Resolution**





**Professional. The Audited Financial Statements for the financial year ended 31<sup>st</sup> March, 2020 signed by Mr. Sunil O. Khandelwal, Chief Financial Officer and Mr. K. H. Gopal, Company Secretary and same were taken on record by Mr. Ajay Joshi, Resolution Professional.**

**The Company has prima facie complied with signing of the report of directors is as per sub-sections (3), (4) and (5) of the Companies Act, 2013 for the financial year ended 31<sup>st</sup> March, 2020.**

12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them-

**During the financial year 2019-20, the following Directors, Mr. Ashok B. Jiwrajka (DIN: 00168350) and Mr. Dilip B. Jiwrajka (DIN: 00173476), who retired by rotation at the Annual General Meeting held on 24<sup>th</sup> December, 2019, were re-appointed. Mr. Senthilkumar M. A. (DIN: 07421184), Executive Director resigned with effect from 20<sup>th</sup> January, 2020.**

**The Company has paid following remuneration including perquisites and sitting fees to the Directors and Key Managerial Personnels:**

**(Rs. in crores)**

Sr. No.	Name	Designation	Total
1.	Senthil Kumar M. A. (till 20 <sup>th</sup> January, 2020)	Executive Director	0.74
2.	Tulsi Tejwani	Executive Director	0.74
3.	K. H. Gopal	Company Secretary	1.66
4.	Sunil O. Khandelwal	Chief Financial Officer	1.73

13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of Section 139 of the Act-

**During the financial year 2019-20, there was no such instance of appointment or reappointment or casual vacancies of the Auditors.**

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act-

**No approvals was required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the provisions of the Act.**



15. Acceptance/ renewal/ repayment of deposits-

**During the financial year 2019-20, as per the Audited Financial Statements the Company has not accepted, not renewed and not repaid any public deposits.**

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable-

**During the financial year 2019-20, pursuant to the Resolution Plan approved by the Hon'ble National Company Law Tribunal, Ahmedabad Bench, the Company has taken loans from the Banks and Financial Institutions and created the charge. The Company has not modified or satisfied the said charge.**

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act -

**During the financial year 2019-20, it is observed that the Company has not charged interest for the loans given in earlier years to the Subsidiary Companies.**

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company-

**During the financial year 2019-20, the Company has altered Memorandum of Association and Articles of Association of the Company in order to reflect the re-classification of authorised share capital and reduction in face value of the equity shares pursuant to the Resolution Plan approved by the Hon'ble National Company Law Tribunal, Ahmedabad Bench vide their Orders dated 08<sup>th</sup> March, 2019 and 26<sup>th</sup> July, 2019.**

**Place: Mumbai**

**Date: 18<sup>th</sup> February, 2021**

**UDIN: A001157B003008645**

**Note:**

*Due to COVID-19 and continued lockdown, I am unable to verify the information physically, therefore I rely on the information provided by the Company in electronic mode.*

**Virendra G. Bhatt**

**ACS No.: 1157**

**COP No.: 124**





**ANNEXURE-I**

**MONITORING COMMITTEE MEETINGS:**

No.	Date of Meetings
1.	09 <sup>th</sup> April, 2019
2.	24 <sup>th</sup> April, 2019
3.	06 <sup>th</sup> May, 2019
4.	06 <sup>th</sup> June, 2019
5.	14 <sup>th</sup> June, 2019
6.	05 <sup>th</sup> August, 2019
7.	14 <sup>th</sup> August, 2019
8.	26 <sup>th</sup> September, 2019
9.	14 <sup>th</sup> November, 2019
10.	22 <sup>nd</sup> November, 2019
11.	13 <sup>th</sup> January, 2020
12.	20 <sup>th</sup> January, 2020
13.	14 <sup>th</sup> February, 2020



## Alok Industries Limited

Details of shares/debentures transfers from

01/04/2019

To

31/03/2020

Closure Date :

31-03-2019

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
16/03/2020	1	200	000001	00023224	ILA H SHAH	00031807	ILA H SHAH
18/03/2020	1	200	000001	00026408	VASUDHA Y AITHAL	CD000001	CENTRAL DEPOSITORY S
20/03/2020	1	100	000001	00021060	KANCHANBEN V CHOVTI	ND000001	NATIONAL SECURITY DE

# ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,  
Mumbai - 400 013. Tel.: 91 22 6178 7000 Fax : 91 22 6178 7118



February 19, 2021

To,  
**The Ministry of Corporate Affairs,**  
Office of the Registrar of Companies,  
ROC Bhavan, Opp. Rupal Park,  
Near Ankur Bus Stand, Naranpur,  
Ahemdabad-380013

**Sub : Filing of Form MGT-7 (Annual Return) for the Financial Year ended 31<sup>st</sup> March, 2020.**

Respected Sir,

Please note that pursuant to the provisions of the Insolvency and Bankruptcy Code, 2016 ("Code"), ALOK Industries Limited ("the Company") was admitted to corporate insolvency resolution process ("CIR Process") on 18<sup>th</sup> July, 2017. Subsequently, Hon'ble National Company Law Tribunal, Ahmedabad Bench ("NCLT") pursuant to its Order dated 08 March 2019 approved the Resolution Plan submitted by the Resolution Applicants, JM Financial Asset Reconstruction Company Limited, JMFARC-March 2018-Trust and Reliance Industries Limited ("Approved Resolution Plan").

As per the Approved Resolution Plan, during the period between the NCLT Approval Date i.e. 8<sup>th</sup> March, 2019 and the Closing Date (as defined in the Approved Resolution Plan) ("Interim Period"), a Monitoring Committee ("MC") was constituted to manage the affairs of the Company as a going concern and supervise the implementation of the Resolution Plan as the powers of the Board were suspended as per the Code.

Accordingly, the MC during the period 1<sup>st</sup> April, 2019 to 31<sup>st</sup> March 2020, for which this MGT-7 (Annual Return) is filed with this letter, met 13 times, interalia, to approve the financial results of the Company on quarterly basis. We have therefore provided dates of MC meetings, in the aforesaid Form MGT-7 in place of dates of Board Meeting which you may please note. You may also note that in Form MGT-7 only 12 fields can be filled in, whereas in our case there were 13 meetings as give below.

No.	Date of MC Meetings
1.	09 <sup>th</sup> April, 2019
2.	24 <sup>th</sup> April, 2019
3.	06 <sup>th</sup> May, 2019
4.	06 <sup>th</sup> June, 2019
5.	14 <sup>th</sup> June, 2019
6.	05 <sup>th</sup> August, 2019
7.	14 <sup>th</sup> August, 2019
8.	26 <sup>th</sup> September, 2019
9.	14 <sup>th</sup> November, 2019
10.	22 <sup>nd</sup> November, 2019
11.	13 <sup>th</sup> January, 2020
12.	20 <sup>th</sup> January, 2020
13.	14 <sup>th</sup> February, 2020



...2/-

Regd. Off.: 17/5/1, 521/1, Village Rakholi / Saily, Silvassa - 396 230. ( Union Territory of Dadra and Nagar Haveli )

Tel.: 0260-6637000 Fax : 0260-2645289 Visit us at : www.alokind.com CIN : L17110DN1986PLC000334

:: 2 ::

You may also note that in terms of the approved Resolution Plan and pursuant to the Order of the NCLT, the paid-up equity share capital of the company was reduced without any payout to the shareholders, by reducing the face value of each issued and outstanding equity share of the Company from Rs.10/- to Rs.1/-. The same has been shown in the table under the heading "IV(i)(d)-Break-up of paid-up share capital" in the Form MGT-7 which is filed along with this letter.

Thanking you,

Yours truly,  
For Alok Industries Limited

*Hanah*

Hitesh Kanani  
Company Secretary

