

ANNEXURE-1
EXTRACT OF ANNUAL RETURN AS ON 31st MARCH, 2020.

FORM MGT-9

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i.	CIN	L17100DN1986PLC000334
ii.	Registration Date	12th March, 1986
iii.	Name of the Company	Alok Industries limited
iv.	Category/sub-category of the Company	Public Company/ Company limited by shares
v.	Address of the Registered office and Contact details	17/5/1, 521/1, Village Rakholi/Saily, Silvassa, Union Territory Of Dadra & Nagar Haveli Tel: 0260-6637000; Fax: 0260-2645289
vi.	Whether listed company	Yes
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Pvt. Ltd C-101,247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400083. Tel: 022 - 49186000; Fax: 022 - 49186060

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the Company shall be stated:

Sr. No.	Name and Description of main products/services	NIC Code of the Product/ service	% to total turnover of the Company
1.	Manufacturing of Textiles and Apparel	26	100

III. PARTICULARS OF HOLDING, SUBSIDIARY, JOINT VENTURES AND ASSOCIATE COMPANIES

Sr. No.	Name of the Company	CIN/GLN	Subsidiary/ Associate/ Joint Venture	% of shares held	Applicable section
1	Alok Infrastructure Limited	U45201MH2006 PLC164267	Subsidiary	100	2(87)
2	Alok International Inc	NA	Subsidiary	100	2(87)

Sr. No.	Name of the Company	CIN/GLN	Subsidiary/ Associate/ Joint Venture	% of shares held	Applicable section
3	Alok International (Middle East) FZE	NA	Subsidiary	100	2(87)
4	Alok Global Trading (Middle East) FZE	NA	Subsidiary	100	2(87)
5	Alok Singapore Pte Limited	NA	Subsidiary	100	2(87)
6	Alok Worldwide Limited	NA	Subsidiary	100	2(87)
7	Triumphant Victory Holdings Limited	NA	Joint Venture	16.67	--
8	New City of Bombay Manufacturing Mill Limited	U17291MH2007 GOI195493	Joint Venture	49	--
9	Aurangabad Textiles and Apparel Parks Limited	U17121MH2007 GOI195403	Joint Venture	49	--
10	Alok Industries International Ltd. (\$)	NA	Step down subsidiary	100	2 (87)
11	Grabal Alok International Ltd. (\$)	NA	Step down subsidiary	100	2 (87)
12	Mileta a.s. (#)	NA	Step down subsidiary	100	2 (87)
13	Kesham Developers & Infotech Private Limited (\$) (*)	U45201MH2006 PTC165536	Step down subsidiary	100	2 (87)
14	Springdale Information & Technologies Private Limited (\$) (*)	U67120MH2006 PTC159739	Step down subsidiary	100	2 (87)
15	Grabal Alok (UK) Limited (&) (*)	NA	Step down subsidiary	99.87	2 (87)

(\$) Subsidiary of Alok Infrastructure Limited

(#) Subsidiary of Alok Industries International Ltd.

(&) Subsidiary Alok Industries International Ltd. and Grabal Alok International Limited.

(*) Under liquidation

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on 31.03.2019)				No. of Shares held at the end of the year (as on 31.03.2020)				% Change during The year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoter									
<i>1) Indian</i>									
a) Individual/ HUF	19,275,411	-	19,275,411	1.40	18,073,218	-	18,073,218	1.31	(0.09)
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp	109,158,453	-	109,158,453	7.93	109,158,453	-	109,158,453	7.93	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other- Alok Benefit Trust	8,691,000	-	8,691,000	0.63	8,691,000	-	8,691,000	0.63	-
Sub-total (A)(1):-	137,124,864	-	137,124,864	9.96	135,922,671	-	135,922,671	6.15	(3.81)
<i>2) Foreign</i>									
g) NRIs-Individuals	-	-	-	-	-	-	-	-	-
h) Other-Individuals	-	-	-	-	-	-	-	-	-
i) Bodies Corp.	-	-	-	-	-	-	-	-	-
j) Banks / FI	-	-	-	-	-	-	-	-	-
k) Any Other....	-	-	-	-	-	-	-	-	-
Subtotal(A)(2):-	-	-	-	-	-	-	-	-	-

B. Public Shareholding									
<i>1. Institutions</i>									
a) Mutual Funds	-	200	200	-	-	200	-	-	-
b) Banks / FI/Insurance Companies	52,900,154	100	52,900,254	3.84	49,301,146	-	49,301,146	2.23	(1.61)
c) Central Govt	10,000	-	10,000	0.00	10,000	-	10,000	0.00	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) FIIs	-	-	-	-	-	-	-	-	-
g) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
h) Others :-									
Foreign Portfolio Investors	48,132,245	2,000,000	50,132,245	3.64	20,517,579	2,000,000	22,517,579	1.02	(2.62)
Sub-total(B)(1)	101,042,399	2,000,300	103,042,699	7.48	69,828,725	2,000,200	71,828,925	3.25	(4.23)
<i>2. Non Institutions</i>									
a) Bodies Corp.									
(i) Indian	257,043,157	19,400	257,062,557	18.66	926,855,564	19,400	926,874,964	41.93	23.27
(ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
(i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	303,781,236	428,230	304,209,466	22.08	648,528,013	491,808	649,019,821	29.36	7.28
(ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	508,699,529	70,000	508,769,529	36.94	360,646,620	-	360,646,620	36.94	-
c) Others									

(i) Clearing Member	8,330,199	-	8,330,199	0.60	3,231,046	-	3,231,046	0.15	(0.45)
(ii) Market Member	2,676,215	-	2,676,215	0.19	405276	-	405276	0.02	(0.17)
(iii) Non-Resident Indian (Repat)	13,662,035	8,200	13,670,235	0.99	16,692,618	8200	16,700,818	0.75	(0.24)
(iv) Non Resident Indians (Non-Repat)	8,116,573	-	8,116,573	0.59	9,444,359	-	9,444,359	0.43	(0.16)
(v) Foreign Companies	-	-	-	-	-	-	-	-	-
(vi) Overseas bodies corporate	-	-	-	-	-	-	-	-	-
(vii) Trusts	42,650	-	42,650	0	58,750	-	58,750	0.0	-
(viii) HUF	33,899,308	600	33,899,908	2.46	36,440,878	600	36,441,478	1.65	(0.81)
(IX) NBFCs registered with RBI	373,000	-	373,000	0.02	76,500	-	76,500	0.00	(0.02)
Sub-total(B)(2)	1,136,623,902	5,26,430	1,137,150,332	82.56	2002,379,624	5,200,08	2002,899,632	90.60	8.04
Total Public Shareholding (B)=(B)(1)+ (B)(2)	1,237,666,301	2,526,730	1,240,193,031	90.04	2072,208,349	2,520,208	2074,728,557	93.85	3.81
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	1,374,791,165	2,526,730	1,377,317,895	100.00	2208,131,020	2,520,208	2210,651,228	100.00	-

ii. Shareholding of Director

Sr. No	Shareholder's Name	Shareholding at the beginning of the year (As on 31-03-2019)			Shareholding at the end of the year (as on 31-03-2020)			% change in share holding during the year
		No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	
1	Ashok B Jivrajka	14,844,206	1.08	100.00	14,844,206	0.67	100.00	(0.41)
2	Dilip B Jivrajka	1,005,973	0.07	99.80	1,005,973	0.05	99.80	(0.02)
3	Surendra B Jivrajka	1,356,900	0.10	100.00	1,356,900	0.06	100.00	(0.04)
4	Chandrakala A Jivrajka	2,561	0.00	0.00	2,561	0.00	0.00	-
5	Pramila D Jivrajka	2,061,605	0.15	99.90	859,412	0.04	99.76	(0.11)
6	Alok A Jivrajka	4,166	0.00	0.00	4,166	0.00	0.00	-
7	Surendra B Jivrajka,, Jt with K. H. Gopal*	8,691,000	0.63	100.00	8,691,000	0.39	100.00	(0.24)
8	Ashok Realtors Private Ltd	639,320	0.046	100.00	639,320	0.03	100.00	(0.016)
9	Alok Knit Exports Private Limited	108,519,133	7.88	100.00	108,519,133	4.91	100.00	(2.97)
10	Vinod B Jivrajka	Nil	Nil	Nil	Nil	Nil	Nil	-
	Total	137,124,864	9.96	99.99	137,124,864	6.15	99.99	(3.81)

* representing Alok Benefit Trust

iii. **Change in Promoters Shareholding**

Sr. no.	Shareholder's Name	Shareholding at the beginning of the year (01.04.2019)		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Ashok B Jiwrajka				
	At the beginning of the year	14,844,206	1.08	14,844,206	0.67
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			14,844,206	0.67
2	Dilip B Jiwrajka				
	At the beginning of the year	1,005,973	0.07	1,005,973	0.05
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			1,005,973	0.05
3	Surendra B Jiwrajka				
	At the beginning of the year	1,356,900	0.10	1,356,900	0.06
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			1,356,900	0.06
4	Chandrakala A Jiwrajka				
	At the beginning of the year	2,561	0.00	2,561	0.00
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			2,561	0.00
5	Pramila D Jiwrajka				
	At the beginning of the year	2,061,605	0.15	2,061,605	0.04
	1202193 shares were invoked by Catalyst Trusteeship Limited on 02.12.2019	-	-	-	-
	At the End of the year			859,412	0.04

6	Alok A Jiwrajka				
	At the beginning of the year	4,166	0.00	4,166	0.00
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			4,166	0.00
7	Surendra B Jiwrajka, Jt. with K. H. Gopal				
	At the beginning of the year	8,691,000	0.63	8,691,000	0.39
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			8,691,000	0.39
8	Ashok Realtors Private Limited				
	At the beginning of the year	639,320	0.04	639,320	0.03
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			6,39,230	0.03
9	Alok Knit Exports Private Limited				
	At the beginning of the year	108,519,133	7.88	108,519,133	4.91
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year			108,519,133	4.91
10	Vinod B Jivrajka				
	At the beginning of the year	-	-	-	-
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/ decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	-	-	-	-

iv. Shareholding Pattern of Top Ten Shareholders (other than Directors and Promoters)

Sr. No.	Name of the top 10 shareholders	Shareholding at the beginning of the year(01.04.2019)		Cumulative shareholding during the year (31.03.2020)	
		No of shares	% of total shares of the Company	No of shares	% of total shares of the Company
1	Reliance Industries Limited	Nil	0.00	833,333,333	37.70
2	Life Insurance Corporation of India	35,164,136	2.55	35,164,136	1.59
3	Indian Opportunities Growth Fund Ltd-Pinewood Strategy	41,800,000	3.03	17,930,362	1.89
4	Arya Consolidated Private Limited	12,564,953	0.91	16,696,711	0.81
5	Rajul Devidas Shah	4,561,403	0.33	16,639,616	0.75
6	Ramakrishna Reddy Challa	2,000,000	0.14	9,000,000	0.41
7	United India Insurance Company Limited	7,610,690	0.55	7,610,690	0.34
8	Jaideep Narendra Sampat	5,695,542	0.41	5,695,542	0.26
9	Charmi Rakesh Bhansali	4,697,691	0.34	5,600,000	0.25
10	R K R Investments Services Private Limited	6,150,000	0.44	5,350,000	0.24

v. Shareholding of Directors and Key Managerial Personnel

Sr. No.	Name	Shareholding at the beginning of the year		Cumulative shareholding during the year	
		No of shares	% of total shares of the Company	No of shares	% of total shares of the Company
1.	Ashok B Jiwrajka	14,844,206	1.08	14,844,206	0.67
2.	Dilip B Jiwrajka	1,005,973	0.07	1,005,973	0.05
3.	Surendra B Jiwrajka	1,356,900	0.10	1,356,900	0.06
4.	Tulsi Tejwani	4000	0	5000	0
5.	Senthilkumar M A	0	0	0	0
6.	Sunil O Khandelwal	2000	0.00	2000	0.00
7.	K. H. Gopal	0	0	0	0

V. INDEBTEDNESS:

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Rs. in Crore)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Total Indebtedness
Indebtedness at the beginning of the financial year (01.04.2019)			
i) Principal Amount	23,513.18	581.34	24,094.52
ii) Interest due but not paid	5,408.76	56.65	5,465.40
iii) Interest accrued but not due	6.52	-	6.52
Total (i+ii+iii)	28,928.45	637.98	29,566.43
Change in Indebtedness during the financial year (2019-20)			
Net Change	(885.38)	(637.98)	(1,523.36)
Indebtedness at the end of the Financial year (31.03.2020)			
i) Principal Amount	28,030.50	-	28,030.50
ii) Interest due but not paid	12.57	-	12.57
iii) Interest accrued but not due	-	-	-
Total (i+ii+iii)	28,043.07	-	28,043.07

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager

Sr. No.	Particulars of Remuneration	Name					Total Amount
		Executive Director	Managing Director	Joint Managing Director	Executive Director	Executive Director	
		Ashok B Jiwrajka	Dilip B Jiwrajka	Surendra B Jiwrajka	Senthil Kumar M A	Tulsi Tejwani	
1.	Gross salary						
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	Nil	Nil	74,72,277.00	73,94,945.47	1,48,67,222.47
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-	-	-
2.	Stock Option	-	-	-	-	-	-
3.	Sweat Equity	-	-	-	-	-	-

4.	Commission - as % of profit - others, specify.	-	-	-	-	-	-
5.	Others, please specify	-	-	-	-	-	-
6.	Total(A)	Nil	Nil	Nil	74,72,277.00	73,94,945.47	1,48,67,222.47
	Ceiling as per the Act						

B. Remuneration to other directors:

i. Independent Director

Particulars of Remuneration	Name of Directors		Total Amount
	Surinder Kumar Bhoan	Keshav D. Hodavdekar	
Fees for attending Board/ committee meetings	-	-	-
Commission	-	-	-
Others	-	-	-
Total	-	-	-

ii. Other Non-executive directors:

Particulars of Remuneration	Name of Nominee Directors	Total Amount
	Suneet Shukla - (IFCI Limited)	
Fees for attending Board/ committee meetings	-	-
Commission	-	-
Others	-	-
Total	-	-

C. Remuneration to Key Managerial Personnel Other Than MD/ Manager/ WTD as on 31.03.2020

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		
		Company Secretary (K H Gopal)	Chief Financial Officer (Sunil O Khandelwal)	Total
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,66,06,283.11	1,72,65,887.50	3,38,72,170.61
	(b) Value of perquisites u/s 17 (2) Income-tax Act, 1961			
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission - as % of profit - others, specify...	-	-	-
5.	Others, please specify	-	-	-
6.	Total	1,66,06,283.11	1,72,65,887.50	3,38,72,170.61

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

There were no instances of non-compliances nor have any penalties, strictures been imposed by Stock Exchanges or SEBI or any other statutory authority during the last three years on any matter related to the capital markets, except imposition of fines by the National Stock Exchange of India Limited vide letters dated March 26, 2018 and June 17, 2019 in relation to delay in submission of quarterly financial results for the period ending September, 2017 and March, 2019 respectively and by BSE Limited vide email/letter dated September 19, 2018 and June 17, 2019 in relation to delay in submission of quarterly financial results for the period ending March, 2018, June, 2018 and March, 2019 respectively, required to be submitted with the Stock Exchanges under Clause 41 of the Listing Agreement / Regulation 33 of the SEBI LODR Regulations. The Company had provided suitable explanations in this regard.

The Company thereafter paid: (i) Rs.5000/- to National Stock Exchange of India Limited on 3rd April 2018 towards delay in submission of financial results for the quarter ended 30th September 2017 (not submitted within 30 min after the meeting) and (ii) Rs.81,000/- to National Stock Exchange of India Limited on 13th February 2020 towards delay in 14 days for submission of financial results for the quarter and year ended 31.03.2019. The Company has not received any intimation from BSE India Limited for any such payment.

The Company has also complied with and adopted the mandatory requirements of the Listing Regulations except (i) non-compliance with respect to submission of financial results mentioned in paragraph mentioned above, and (ii) non-compliance with respect to the disclosures and filings required to be submitted by the Company, with respect to non-convertible debentures issued by the Company, with the Stock Exchanges under the Listing Regulations. The Company has vide e-mail dated 16 November 2018 to SEBI confirmed the compliance of the relevant provisions under the Listing Regulations dealing with disclosures and submissions required to be made with respect to non-convertible debentures for the period ending September 30, 2018. Further, the Company has sought relief from the non-compliance of the certain provisions of the SEBI LODR Regulations for the period prior to commencement of the CIR Process of the Company. SEBI has, post conclusion of the hearing in the subject matter, imposed a penalty of Rs. 12 lakhs on the Company, which has been contested at the Securities Appellate Tribunal, Mumbai. The decision in this regard is awaited.